

Supersymmetric GUTs

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Pre-SUSY 2010
Bonn, Germany
August 21, 2010



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PHYSICS

Outline

Evolution of SUSY GUTs

- 4 dimensional SUSY GUTs
- 5 dimensional orbifold GUTs
- String orbifolds \longleftrightarrow orbifold GUTs
- Conclusions

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- 4 dimensional SUSY GUTs
- 5 dimensional orbifold GUTs
- String orbifolds \longleftrightarrow orbifold GUTs
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The Goal of Beyond SM physics

Reduce # fundamental parameters

18 parameters in Standard Model

- 13 charged fermion masses & mixing
- + 3 gauge couplings
- + 2 W & Higgs mass

27 including neutrino masses & mixing

28 including QCD θ parameter

29 including G_N

Supersymmetric Grand Unified Theories

- $M_Z \ll M_G$ "natural"
- Explains charge quantization & Families
- Unification of gauge couplings
- Yukawa coupling unification
- + family symmetry \rightarrow fermion mass hierarchy
- Neutrino masses via See-Saw
- LSP - dark matter candidate
- Baryogenesis via leptogenesis
- SUSY desert \rightarrow LHC probes physics at M_{Pl}
- SUSY GUTs "natural extension of SM"

$$q = \begin{pmatrix} u \\ d \end{pmatrix}, u^c, d^c, l = \begin{pmatrix} \nu \\ e \end{pmatrix}, e^c, \nu^c$$

$$T_A q = \frac{1}{2} \lambda_A q, \quad T_A u^c = -\frac{1}{2} \lambda_A^T u^c, \quad T_A d^c = -\frac{1}{2} \lambda_A^T d^c$$

$$T_A l = T_A e^c = T_A \nu^c = 0$$

$$[T_A, T_B] = i f_{ABC} T_C \quad \text{SU}(3)$$

$$T_a q = \frac{1}{2} \tau_a q, \quad T_a l = \frac{1}{2} \tau_a l$$

$$T_a u^c = T_a d^c = T_a e^c = T_a \nu^c = 0$$

$$[T_a, T_b] = i \varepsilon_{abc} T_c \quad \text{SU}(2)$$

$$Y q = \frac{1}{3} q, \quad Y u^c = -\frac{4}{3} u^c, \quad Y d^c = \frac{2}{3} d^c$$

$$Y l = -l, \quad Y e^c = +2e^c, \quad Y \nu^c = 0$$

$$\text{U}(1)_Y$$

$$Q_{EM} = T_3 + \frac{Y}{2}$$

All interactions of fermions & Higgs with gauge bosons fixed by their charges

$$D_\mu = (\partial_\mu + ig_s T_A G_{\mu A} + ig T_a W_{\mu a} + ig' \frac{Y}{2} B_\mu)$$

$$L_{\text{gauge-fermion}} = [l^* i \bar{\sigma}_\mu D^\mu l + \dots] + [-\frac{1}{2} \text{Tr}(G_{\mu\nu} G^{\mu\nu}) + \dots]$$

The standard Dirac form of the SM can be obtained by defining 4 component Dirac field

$$\Psi_e = \begin{pmatrix} e \\ i\sigma_2 (e^c)^* \end{pmatrix}$$

$$H_u = \begin{pmatrix} h^+ \\ h^0 \end{pmatrix}, \quad H_d = \begin{pmatrix} \bar{h}^0 \\ \bar{h}^- \end{pmatrix}$$

$$\mathbf{T}_A H_u = \mathbf{T}_A H_d = 0$$

$$T_a H_u = \frac{1}{2} \tau_a H_u, \quad T_a H_d = \frac{1}{2} \tau_a H_d$$

$$Y H_u = + H_u, \quad Y H_d = - H_d$$

$$L_{gauge-Higgs} = |D_\mu H_u|^2 + |D_\mu H_d|^2 + V_{Higgs}$$

$$\begin{aligned} -L_{Yukawa} = & \lambda_e^{ij} l_i e_j^c H_d + \lambda_d^{ij} q_i d_j^c H_d \\ & + \lambda_u^{ij} q_i u_j^c H_u + \lambda_\nu^{ij} l_i \nu_j^c H_u - \frac{1}{2} M_{ij} \nu_i^c \nu_j^c \end{aligned}$$

The MSSM is obtained by defining chiral superfields

$$Q = \begin{pmatrix} U \\ D \end{pmatrix}, U^c, D^c, L = \begin{pmatrix} V \\ E \end{pmatrix}, E^c, V^c$$

$$E(y, \theta) = \tilde{e}(y) + \sqrt{2}(\theta e(y)) + (\theta \theta)F_e(y)$$

$$y^\mu = x^\mu - i\theta \sigma^\mu \bar{\theta}, \quad (\theta e(y)) \equiv \theta^\alpha e(y)_\alpha$$

$$L_{\text{gauge-matter}} = \int d^4\theta [L_i^\dagger \exp(-2[g_s V_g + g V_W + g' \frac{Y}{2} V_B]) L_i + \dots] \\ + \int d^2\theta [\frac{1}{8g_s^2} \text{Tr}(W_g^\alpha W_{g\alpha}) + \dots] + h.c.$$

$$L_{\text{Yukawa}} = \int d^2\theta [\lambda_e^{ij} L_i E_j^c H_d + \lambda_d^{ij} Q_i D_j^c H_d \\ + \lambda_u^{ij} Q_i U_j^c H_u + \lambda_v^{ij} L_i V_j^c H_u \\ - \frac{1}{2} M_{ij} V_i^c V_j^c - \mu H_u H_d] + h.c.$$

Grand Unification & Charge Quantization

$$q = \begin{pmatrix} u & u & u \\ d & d & d \end{pmatrix} \quad u^c = \begin{pmatrix} u^c & u^c & u^c \end{pmatrix} \quad d^c = \begin{pmatrix} d^c & d^c & d^c \end{pmatrix}$$

$$l = \begin{pmatrix} \nu \\ e \end{pmatrix} \quad e^c$$

$$Q = \begin{pmatrix} u & u & u & \nu \\ d & d & d & e \end{pmatrix} \quad Q^c = \begin{pmatrix} u^c & u^c & u^c & \nu^c \\ d^c & d^c & d^c & e^c \end{pmatrix}$$

$$Q_{EM} = \frac{1}{2}(B - L) + T_L^3 + T_R^3$$

Pati-Salam - lepton # = 4th color

Quarks & leptons fit into two irreducible reps.

$$Q = (q \ l), \quad Q^c = (q^c \ l^c) \quad SU(4)_C \otimes SU(2)_L \otimes SU(2)_R$$

$$q^c = \begin{pmatrix} u^c \\ d^c \end{pmatrix}, \quad l^c = \begin{pmatrix} \nu^c \\ e^c \end{pmatrix} \quad (4, 2, 1) \oplus (\bar{4}, 1, \bar{2})$$

The two Higgs doublets

$$H = (H_d \ H_u) \quad (1, 2, \bar{2})$$

$$\lambda \ Q^c \ H \ Q \quad \Rightarrow \quad \lambda_t = \lambda_b = \lambda_\tau = \lambda_{\nu_\tau} \equiv \lambda$$

Yukawa coupling unification

Grand Unification - SO(10) GUT

State	Y $= \frac{2}{3}\Sigma(C) - \Sigma(W)$	Color C spins	Weak W spins
$\bar{\nu}$	0	---	--
\bar{e}	2	---	++
u_r d_r u_b d_b u_y d_y	$\frac{1}{3}$	+ - - + - - - + - - + - - - + - - +	- + + - - + + - - + + -
\bar{u}_r \bar{u}_b \bar{u}_y	$-\frac{4}{3}$	- + + + - + + + -	-- -- --
\bar{d}_r \bar{d}_b \bar{d}_y	$\frac{2}{3}$	- + + + - + + + -	++ ++ ++
ν e	-1	+ + + + + +	- + + -

Georgi

Fritzsch & Minkowski

spinor reps.
of SO(10)

tensor product
of 5 spin $\frac{1}{2}$
w/even no. + signs

Aside: $SO(10)$ group theory

The defining representation

$$10_i, \quad i = 1, \dots, 10$$

$$SO(10) = \{O : \text{real } 10 \times 10 \text{ matrices; } O^T O = 1; \det O = 1\}$$

$$10'_i = O_{ij} 10_j$$

Infinitesimal transf.-

$$O = 1 + i\tilde{\omega} \quad \text{with} \quad \tilde{\omega}^T = -\tilde{\omega}$$

$$\tilde{\omega}_{ij} \equiv \omega_{ab} \Sigma_{ij}^{ab} \quad \text{with} \quad \Sigma_{ij}^{ab} = i(\delta_i^a \delta_j^b - \delta_j^a \delta_i^b) \quad \text{generators}$$

$$\omega_{ab} = -\omega_{ba} \quad 45 \text{ parameters}$$

Lie algebra

$$[\Sigma^{ab}, \Sigma^{cd}]_{ik} \equiv \Sigma_{ij}^{ab} \Sigma_{jk}^{cd} - \Sigma_{ij}^{cd} \Sigma_{jk}^{ab} = [\Sigma_{ik}^{ad} \delta_{bc} - \Sigma_{ik}^{ac} \delta_{bd} + \Sigma_{ik}^{bc} \delta_{ad} - \Sigma_{ik}^{bd} \delta_{ac}]$$

$$45'_{ij} = O_{ik} O_{jl} 45_{kl} \quad \text{or} \quad 45'_{ij} = (O45O^T)_{ij}$$

Define spinor representation of SO(10)

$$\Gamma_i^\dagger = \Gamma_i, \quad \{\Gamma_i, \Gamma_j\} = 2\delta_{ij} \quad 2^5 \times 2^5 \text{ matrices}$$

$$\Gamma_{11} \equiv \prod_{i=1}^{10} \Gamma_i, \quad \{\Gamma_{11}, \Gamma_i\} = 0 \quad \forall i, \quad \Gamma_{11}^2 = 1 \quad \Rightarrow \text{eig. } \pm 1$$

$$\Sigma_{ij} = \frac{i}{4} [\Gamma_i, \Gamma_j] \quad [\Gamma_{11}, \Sigma_{ij}] = 0$$

$$16 \oplus \overline{16}$$

Define $A_\alpha = \frac{\Gamma_{2\alpha-1} + i\Gamma_{2\alpha}}{2}, A_\alpha^\dagger = \frac{\Gamma_{2\alpha-1} - i\Gamma_{2\alpha}}{2}, \alpha = 1, \dots, 5$

$$\{A_\alpha, A_\beta\} = 0, \{A_\alpha, A_\beta^\dagger\} = \delta_{\alpha\beta}$$

$$\mathcal{L}_{SO(10)} = \{Q_A, \Delta_{\alpha\beta}, \Delta_{\alpha\beta}^\dagger, X\}$$

$$Q_A = A_\alpha^\dagger \frac{\lambda_{\alpha\beta}^A}{2} A_\beta, A = 1, \dots, 24 \quad [Q_A, Q_B] = i f_{ABC} Q_C$$

where $\lambda_{\alpha\beta}^A$ 5×5 traceless, hermitian matrices of SU(5)

$$\Delta_{\alpha\beta} = A_\alpha A_\beta = -\Delta_{\beta\alpha}, \Delta_{\alpha\beta}^\dagger = A_\alpha^\dagger A_\beta^\dagger = -\Delta_{\beta\alpha}^\dagger$$

$$X = -2 \sum_{\alpha=1}^5 (A_\alpha^\dagger A_\alpha - \frac{1}{2})$$

$$\text{Define } |0\rangle \equiv |\mathbf{1}\rangle \ni A_\alpha |0\rangle \equiv 0$$

$$\Rightarrow Q_A |0\rangle \equiv 0$$

$$\Delta_{\alpha\beta}^\dagger |0\rangle = |\mathbf{10}\rangle_{\alpha\beta}, \quad \epsilon^{\alpha\beta\gamma\delta\lambda} \Delta_{\alpha\beta}^\dagger \Delta_{\gamma\delta}^\dagger |0\rangle = |\bar{\mathbf{5}}\rangle^\lambda$$

$$SO(10) \rightarrow SU(5) \otimes U(1)_X$$

$$\rightarrow SO(6) \otimes SO(4) \approx SU(4)_C \otimes SU(2)_L \otimes SU(2)_R$$

$$\rightarrow SU(3)_C \otimes SU(2)_L \otimes SU(2)_R \otimes U(1)_{B-L}$$

$$\rightarrow SU(5)' \otimes U(1)' \quad \text{flipped } SU(5)$$

Grand Unification - SO(10) GUT

State	Y $= \frac{2}{3}\Sigma(C) - \Sigma(W)$	Color C spins	Weak W spins
$\bar{\nu}$	0	---	--
\bar{e}	2	---	++
u_r	$\frac{1}{3}$	+--	-+
d_r		+--	+-
u_b		-+-	-+
d_b		-+-	+-
u_y		--+	-+
d_y		--+	+-
\bar{u}_r	$-\frac{4}{3}$	-++	--
\bar{u}_b		+ - +	--
\bar{u}_y		+ + -	--
\bar{d}_r	$\frac{2}{3}$	-++	++
\bar{d}_b		+ - +	++
\bar{d}_y		+ + -	++
ν	-1	+++	-+
e		+++	+-

Georgi

Fritzsch & Minkowski

spinor reps.
of SO(10)

tensor product
of 5 spin $\frac{1}{2}$
w/even no. + signs

Georgi & Glashow

SU(5)

SU(5) group theory

$$SU(5) = \{U \mid U = 5 \times 5 \text{ complex matrix}; U^\dagger U = 1; \det U = 1\}$$

$$5'^\alpha = U^\alpha_\beta 5^\beta$$

$$U = \exp(iT_A \omega_A) \text{ where}$$

$$\text{Tr}(T_A) = 0, T_A^\dagger = T_A, A = 1, \dots, 24$$

$$[T_A, T_B] = i f_{ABC} T_C$$

f_{ABC} structure constants of SU(5)

$$\text{Choose normalization: } \text{Tr}(T_A^f T_B^f) = \frac{1}{2} \delta_{AB}$$

$$SU(3): \quad \mathbf{T}_A = \begin{pmatrix} \frac{1}{2}\lambda_A & 0 \\ 0 & 0 \end{pmatrix}, \quad A=1,\dots,8$$

$$SU(2): \quad T_A = \begin{pmatrix} 0 & 0 \\ 0 & \frac{1}{2}\tau_{(A-20)} \end{pmatrix}, \quad A=21,22,23$$

$$U(1)_Y: \quad T_{24} = \sqrt{\frac{3}{5}} \begin{pmatrix} -1/3 & 0 & 0 & & \\ 0 & -1/3 & 0 & & 0 \\ 0 & 0 & -1/3 & & \\ & & & 1/2 & 0 \\ & & & 0 & 1/2 \end{pmatrix} \equiv \sqrt{\frac{3}{5}} \frac{Y}{2}$$

$$T_A, A=9,\dots,20: \quad \frac{1}{2} \begin{pmatrix} & & & 1 & 0 \\ & 0 & & 0 & 0 \\ & & & 0 & 0 \\ 1 & 0 & 0 & & \\ 0 & 0 & 0 & & 0 \end{pmatrix}, \quad \frac{1}{2} \begin{pmatrix} & & & -i & 0 \\ & 0 & & 0 & 0 \\ & & & 0 & 0 \\ i & 0 & 0 & & \\ 0 & 0 & 0 & & 0 \end{pmatrix}$$

~~$$5^\alpha = \begin{pmatrix} d^a \\ l^i \end{pmatrix}, a = 1, 2, 3; i = 4, 5$$~~

~~$$d^a = (3, 1, -2/3), l^i = (1, 2, +1)$$~~

$$\bar{5}_\alpha = \begin{pmatrix} d_a^c \\ l_i^c \end{pmatrix}, a = 1, 2, 3; i = 4, 5$$

$$d^c = (\bar{3}, 1, 2/3), l^c = (1, \bar{2}, -1)$$

$$10^{\alpha\beta} = -10^{\beta\alpha} \propto 5_1^\alpha 5_2^\beta - 5_2^\alpha 5_1^\beta$$

$$10^{ab} \equiv \epsilon^{abc} (u^c)_c = (\bar{3}, 1, -4/3)$$

$$10^{ai} \equiv q^{ai} = (3, 2, 1/3)$$

$$10^{ij} \equiv \epsilon^{ij} e^c = (1, 1, +2)$$

To summarize -

$$\bar{5}_\alpha = \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \\ -e \\ \nu \end{pmatrix}, \quad 10^{\alpha\beta} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & u_3^c & -u_2^c & u^1 & d^1 \\ -u_3^c & 0 & u_1^c & u^2 & d^2 \\ u_2^c & -u_1^c & 0 & u^3 & d^3 \\ -u^1 & -u^2 & -u^3 & 0 & e^c \\ -d^1 & -d^2 & -d^3 & -e^c & 0 \end{pmatrix}$$

Gauge coupling unification

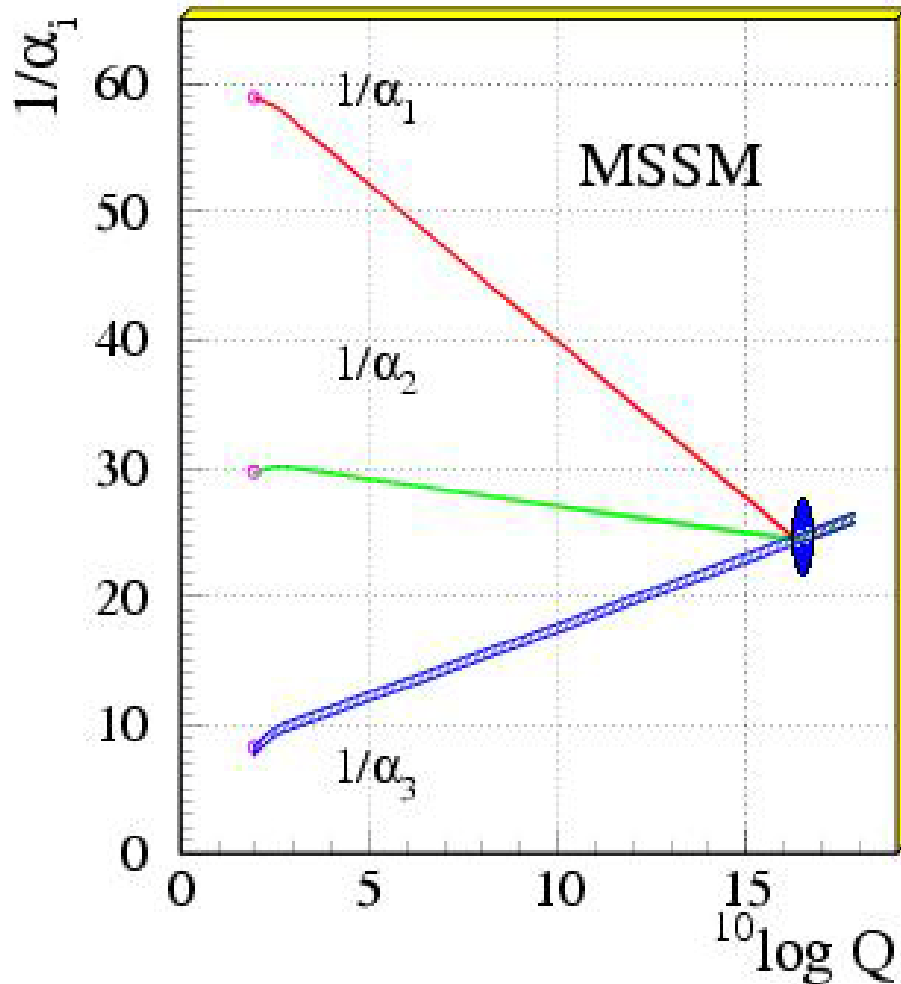
Boundary conditions at M_{GUT}

$$g_G T_A G_\mu^A \supset g_s T_A G_\mu^A + g T_a W_\mu^a + g' \frac{Y}{2} B_\mu$$

$$g_s = g_3, \quad g = g_2, \quad g' = \sqrt{\frac{3}{5}} g_1$$

$$\exists \quad g_3 = g_2 = g_1 = g_G, \quad \sin^2 \theta_W = \frac{g'^2}{g^2 + g'^2} = \frac{3}{8}$$

Gauge coupling unification

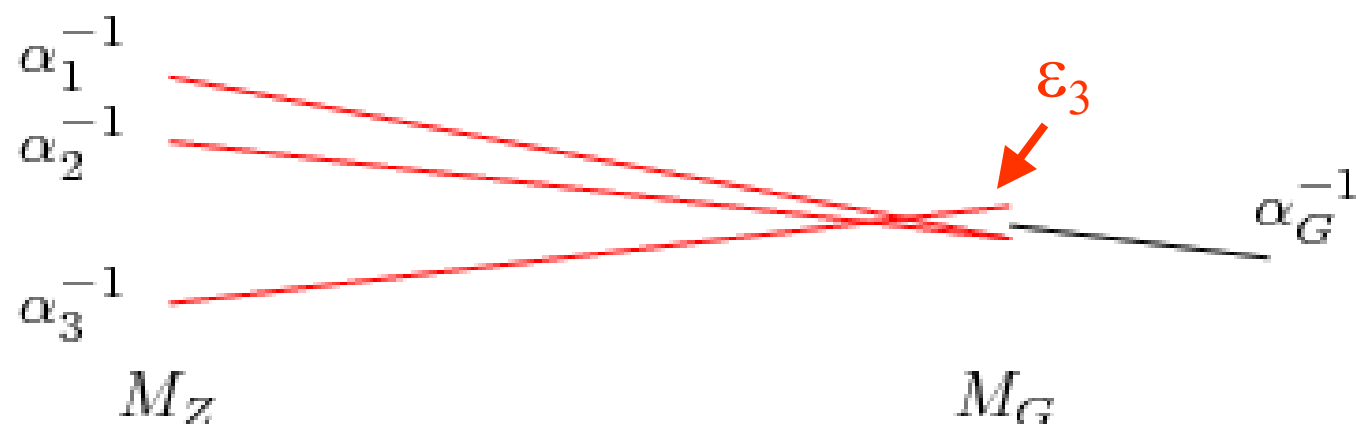


Dimopoulos, Raby
& Wilczek
PRD24, 1681 (1981)

LEP data !!

Amaldi, de Boer
& Furstenau,
PLB260, 447 (1991)

* Only evidence for SUSY



- Significant GUT threshold corrections from Higgs and GUT breaking sectors

Def: $M_G \iff \alpha_1(M_G) = \alpha_2(M_G) \equiv \bar{\alpha}_G$

Using two loop RGE from M_Z to M_G , find

$$M_G \approx 3 \times 10^{16} \text{ GeV}$$

$$\alpha_G^{-1} \approx 24$$

Good fit requires:

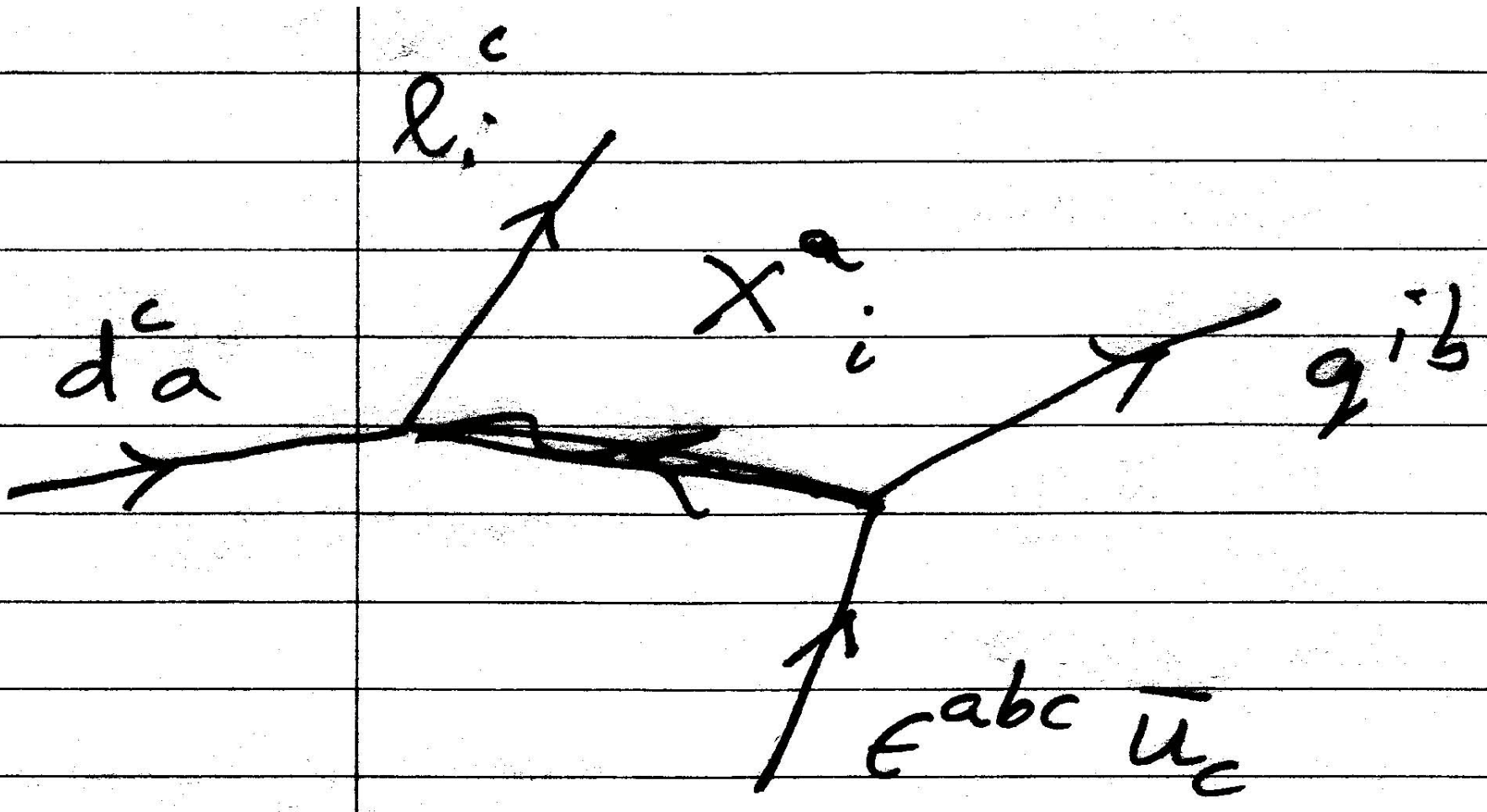
$$\epsilon_3 \equiv \frac{(\alpha_3(M_G) - \bar{\alpha}_G)}{\bar{\alpha}_G} \sim -3\% \text{ to } -4\%$$

This assumes degenerate squarks and sleptons and degenerate gauginos at GUT scale.

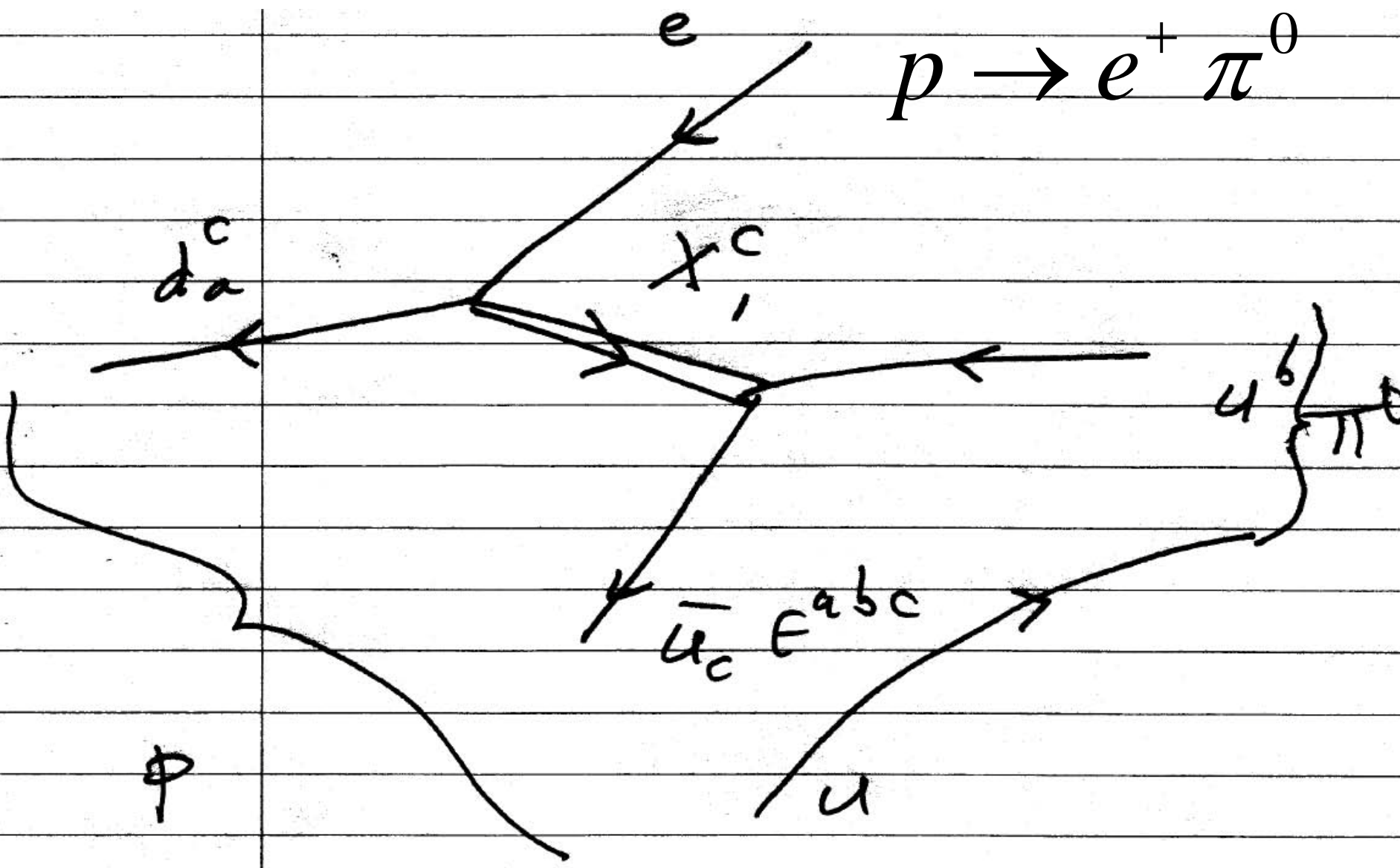
If, for example, $M_3 \ll M_2 \simeq M_1$ then can have $\varepsilon_3 \geq 0$.

See Raby, Ratz, Schmidt-Hoberg
arXiv:0911.4249 (hep-ph)

Proton decay - dim 6 operators



$$p \rightarrow e^+ \pi^0$$



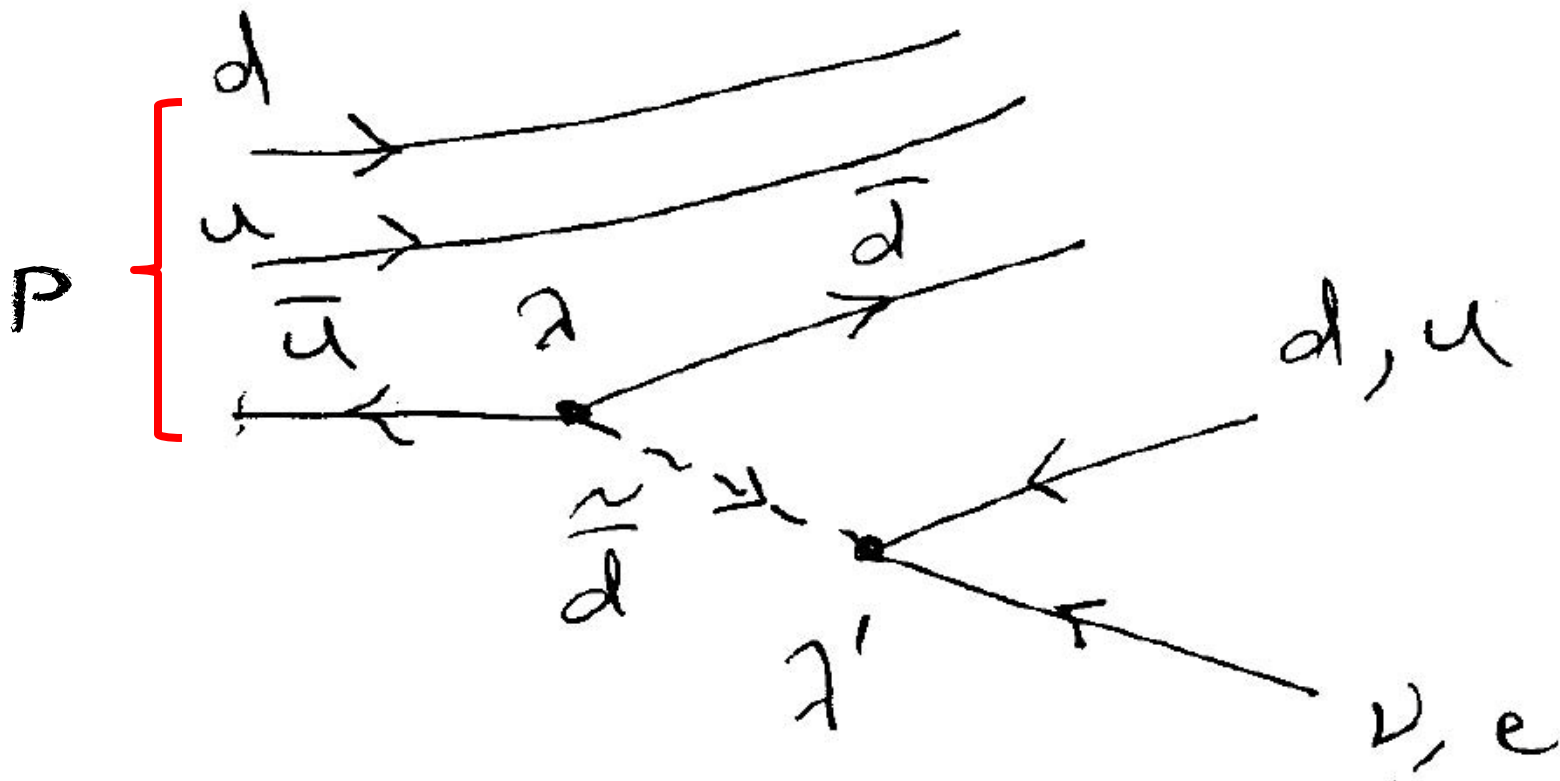
$$\frac{\tau_p}{Br(p \rightarrow e^+ \pi^0)} \geq 1 \times 10^{34} \text{ yrs} \quad \textit{Super - Kamiokande}$$

$$\sim \frac{M_G^4}{g_G^4 m_p^5}$$

$$\approx 10^{36 \pm 2} \text{ yrs} \quad \textit{Theory}$$

Proton decay - dim 4 operators

$$10 \bar{5} \bar{5} \supset \lambda U^c D^c D^c + \lambda' Q L D^c + \lambda'' E^c L L$$



$$p \rightarrow \pi^+ \pi^0 \bar{\nu} \text{ or } (\pi^0 \pi^0, \pi^+ \pi^-) e^+$$

$$\Gamma_p \approx \frac{(\lambda \lambda')^2 m_p^5}{\tilde{m}^4}$$

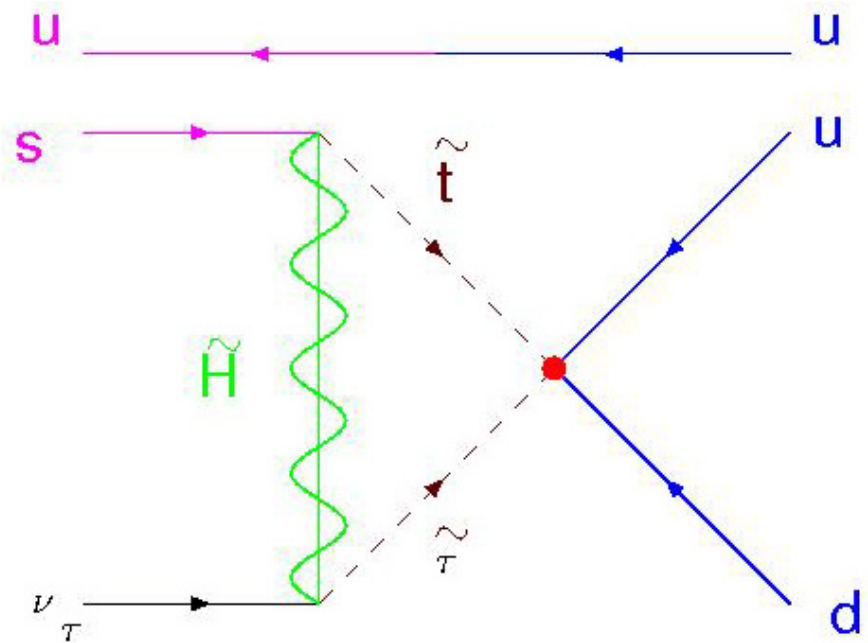
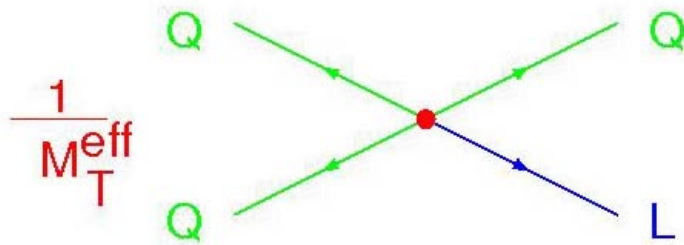
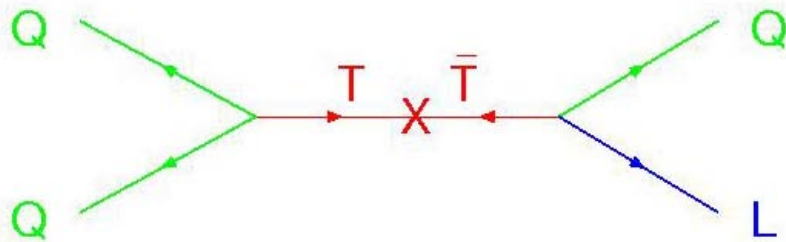
$$\frac{\lambda \lambda'}{\tilde{m}^2} < \frac{g_G^2}{M_G^2} \leq 10^{-32} \text{ GeV}^{-2} \quad \Rightarrow \quad \lambda \lambda' < 10^{-26} \left(\frac{\tilde{m}}{1 \text{ TeV}} \right)^2$$

\mathbb{Z}_2 matter parity excludes dim 4 operators

$F \rightarrow -F, H \rightarrow H$

Proton decay - dim 5 operators

$$10 \ 10 \ 10 \ \bar{5} \supset Q \ Q \ Q \ L + U^c \ U^c \ D^c \ E^c$$



$$(LF) \propto \frac{\lambda_t \lambda_\tau}{16\pi^2} \frac{\sqrt{\mu^2 + M_{1/2}^2}}{m_{16}^2}$$

$$A(p \rightarrow K^+ \bar{\nu}) \propto \frac{c c}{M_T^{eff}} (LF)$$

$c \sim$ Yukawa couplings
 minimize LF
 maximize M_T^{eff}

$$M_T = \begin{pmatrix} 0 & M_G \\ M_G & X \end{pmatrix} \Rightarrow \frac{1}{M_T^{eff}} \equiv \frac{X}{M_G^2}, \quad M_D = \begin{pmatrix} 0 & 0 \\ 0 & X \end{pmatrix}$$

Thus for $X \ll M_G$ obtain $M_T^{eff} \gg M_G$

$$Eg. \quad W \supset 10 45 10' + X (10')^2$$

$$\langle 45 \rangle \sim M_G (B - L)$$

$$\epsilon_3 = \epsilon_3^{\text{Higgs}} + \epsilon_3^{\text{GUT breaking}} + \dots$$

$$\epsilon_3^{\text{Higgs}} = \frac{3\alpha_G}{5\pi} \ln\left(\frac{M_T^{\text{eff}}}{M_G}\right)$$

Model	Minimal SU_5	SU_5 “Natural” D/T [17]	Minimal SO_{10} [18]
$\epsilon_3^{\text{GUTbreaking}}$	0	-7.7%	-10%
$\epsilon_3^{\text{Higgs}}$	-4%	+3.7%	+6%
M_T^{eff} [GeV]	2×10^{14}	3×10^{18}	6×10^{19}

Minimal SU_5 : Proton decays too fast !!

$$\tau_{(p \rightarrow K^+ \bar{\nu})} > 2.3 \times 10^{33} \text{ yrs (92ktyr) at 90\% CL}$$

$$\sim (1/3 - 3) \times 10^{34} \text{ yrs Theory}$$

Quark & Lepton masses and mixing

Hierarchical $\lambda q_3 \bar{u}_3 H_u \quad \lambda \sim O(1)$

$$q_i \bar{u}_j H_u \left(\frac{S}{M_P} \right)^{n(i,j)} \quad \text{Froggatt-Nielsen}$$

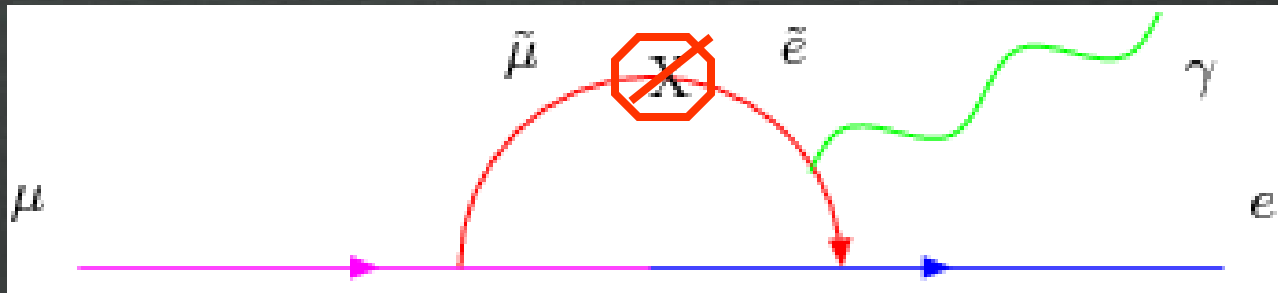
Flavor symmetry breaking

$U(1)$ or non-Abelian $SU(2), SU(3)$

$$S_3 \approx D_3, D_4, A_4, \Delta(27), \Delta(54)$$

Fermion mass hierarchy \Rightarrow FCNC

Non-abelian family symmetry



Suppresses flavor violation

Fermion Masses in $SO(10)$

λ 16 10 16 top, bottom, tau, ν_τ
Yukawa unification

"Minimal" $SO(10)$ Model

$$1) \quad W \supset 16 \ 10 \ 16 + 16 \ \overline{126} \ 16 + 16 \ 120 \ 16 \\ + 210^3 + 210^2 + 210 \ 126 \ \overline{126} + \dots$$

Aulakh, Babu, Bajc, Chen, Mahanthappa,
Mohapatra, Senjanovic, ...

Renormalizable! – large rep's & few predictions

"Minimal" SO(10) Model II

$$2) \quad W \supset 16 \mathbf{10} 16 + 16 \mathbf{10} \frac{45}{M} 16 + \dots$$

Albright, Anderson, Babu, Barr, Barbieri, Berezhiani,
Blazek, Carena, Dermisek, Dimopoulos, Hall, Pati,
Raby, Romanino, Rossi, Starkman, Wagner, Wilczek,
Wiesenfeldt, Willenbrock

Effective higher dimension operators,

Small rep's + Many predictions !!

Possible UV completion to strings !!

Yukawa Unification & Soft SUSY breaking

Blazek, Dermisek & Raby PRL 88, 111804 (2002)

PRD 65, 115004 (2002)

Baer & Ferrandis, PRL 87, 211803 (2001)

Auto, Baer, Balazs, Belyaev, Ferrandis & Tata

JHEP 0306:023 (2003)

Tobe & Wells NPB 663, 123 (2003)

Dermisek, Raby, Roszkowski & Ruiz de Austri

JHEP 0304:037 (2003)

JHEP 0509:029 (2005)

Baer, Kraml, Sekmen & Summy

JHEP 0803:056 (2008)

JHEP 0810:079 (2008)

$$\lambda \quad 16_3 \quad 10 \quad 16_3$$

$$\lambda_t = \lambda_b = \lambda_\tau = \lambda_{\nu_\tau} \equiv \lambda$$

Note, CANNOT predict top mass due to large SUSY threshold corrections to bottom and tau mass

So instead use Yukawa unification to predict soft SUSY breaking masses !!

MSSO₁₀SMATM

$$\lambda \quad 16_3 \quad 10 \quad 16_3$$

$$\lambda_t = \lambda_b = \lambda_\tau = \lambda_{\nu_\tau} \equiv \lambda$$

Fit t, b, tau requires

$$A_0 \approx -2m_{16} \quad m_{10} \approx \sqrt{2}m_{16}$$

$$m_{16} > \text{few TeV} \quad \mu, M_{1/2} \ll m_{16}$$

$$\tan \beta \approx 50$$

Radiative EWSB requires

$$\Delta m_H^2 \equiv \frac{(m_{H_d}^2 - m_{H_u}^2)}{2m_{10}^2} \approx 13\%$$

Roughly $\frac{1}{2}$ comes
From RG running from

$$M_G \rightarrow m_{\nu_\tau}$$

Blazek, Dermisek & Raby "Just so"

Varying $A_0, m_{10}, \Delta m_H^2$
 $\Rightarrow m_A$ arbitrary

Note, this is difficult in bottom - up approach !!

$MSSO_{10}SMA$

- Gauge coupling unification
- Yukawa unification
- Inverted scalar mass hierarchy

Bagger, Feng, Polonsky & Zhang
PLB473, 264 (2000)

- Suppresses flavor & CP violation
- Nucleon decay

MSSM₁₀SMA

1. Extend to 3 family model in 4D
(Dermisek & Raby PLB 622, 327 (2005).)
2. Extend to orbifold GUT in 5D
(HD Kim, Schradin & Raby,
JHEP 0301, 056 (2003) & JHEP 0505, 036 (2005).)
3. Extend to heterotic string in 10D
compactified on $Z_3 \times Z_2$ orbifold
(Kobayashi, Raby & Zhang,
PLB 593, 262 (2004) & NPB 704, 3 (2005).)

χ^2 analysis - 3rd family

Dermisek, Raby, Roszkowski and Ruiz de Austri

JHEP 09 (2005) 029

II Parameters :

$$M_G \quad \alpha_G \quad \varepsilon_3 \quad \lambda$$

$$\mu \quad M_{1/2} \quad A_0 \quad \tan \beta \quad m_{16} \quad m_{10} \quad \Delta m_H$$

9 Observables (included in χ^2) :

$$\alpha_{EM} \quad G_\mu \quad \alpha_s \quad M_Z \quad M_W \quad \rho_{NEW}$$

$$M_t \quad m_b(m_b) \quad M_\tau$$

Results

1. Dark Matter & WMAP

2. $B_s \rightarrow \mu^+ \mu^-$

3. Light Higgs mass - m_h

4. Upper bound on m_A

➔ Lower bound on $BR(B_s \rightarrow \mu^+ \mu^-)$

Dark Matter & WMAP

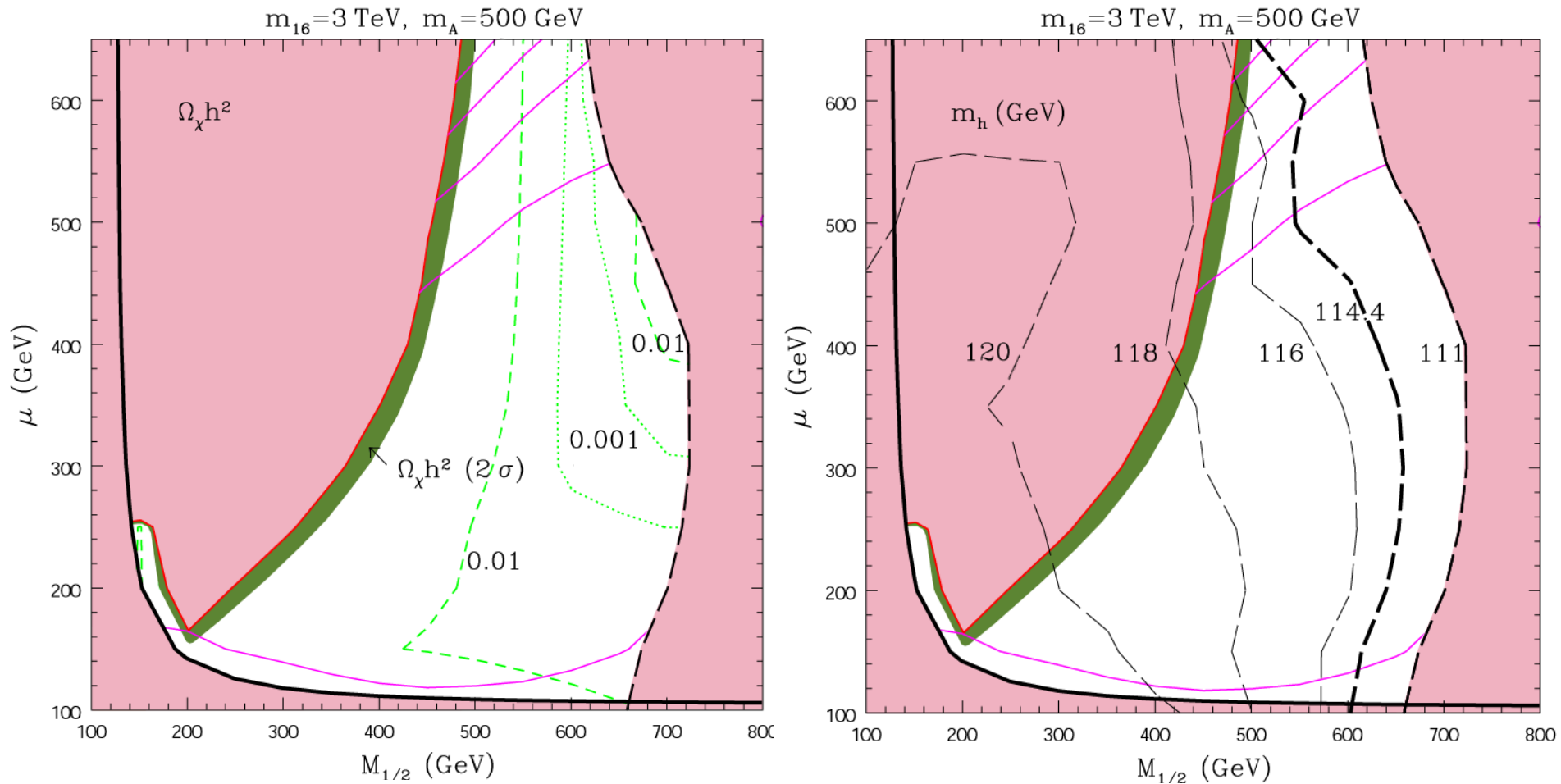
Neutralino LSP

$$\chi_1 \chi_1 \rightarrow A \rightarrow f \bar{f}$$

$$A b \bar{b} \propto \tan \beta$$

A : broad resonance, m_A arbitrary

Results - m_{16} & m_A fixed



Green band consistent with WMAP

Light Higgs mass contours

$$B_{\tau}(B_s \rightarrow \mu^+ \mu^-)$$

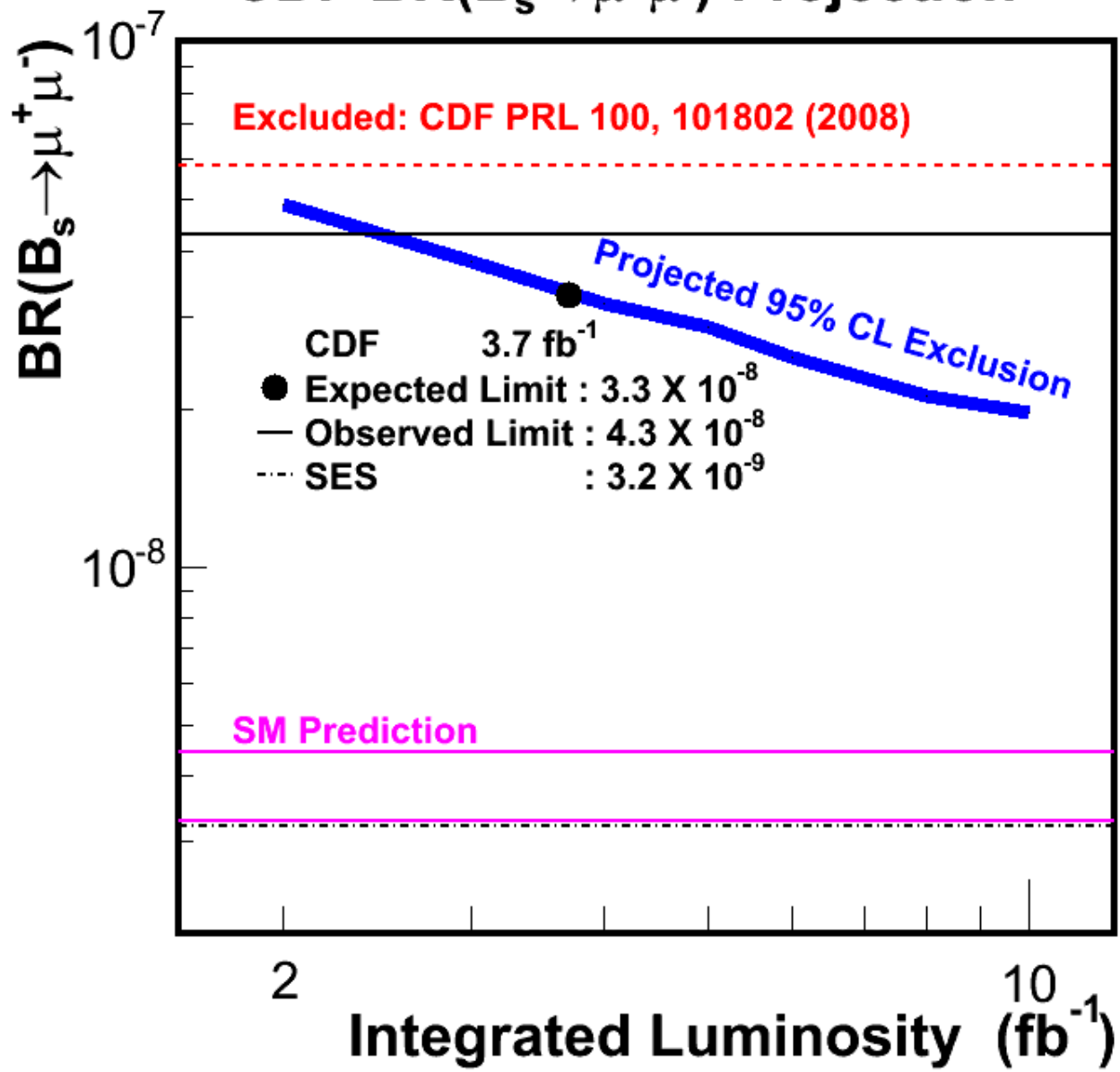
$$\text{SM} : 3 \times 10^{-9}$$

$$\text{MSSM} : \sim (\tan \beta)^6 / m_A^4$$

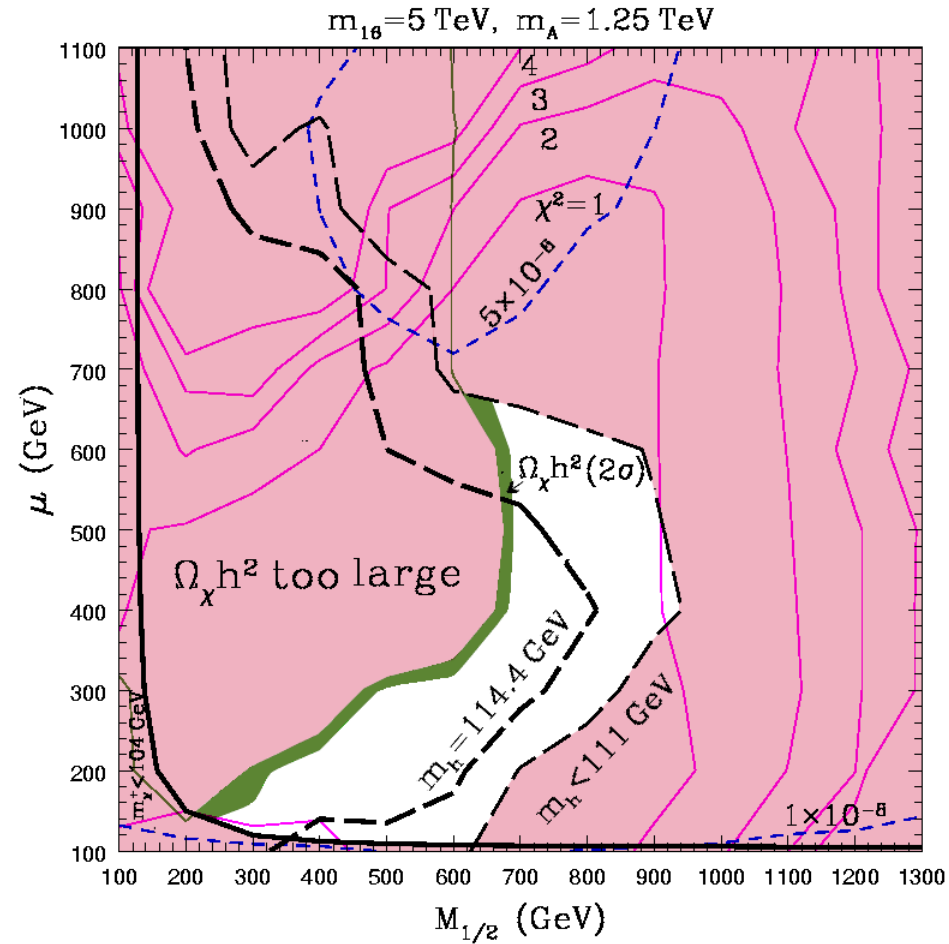
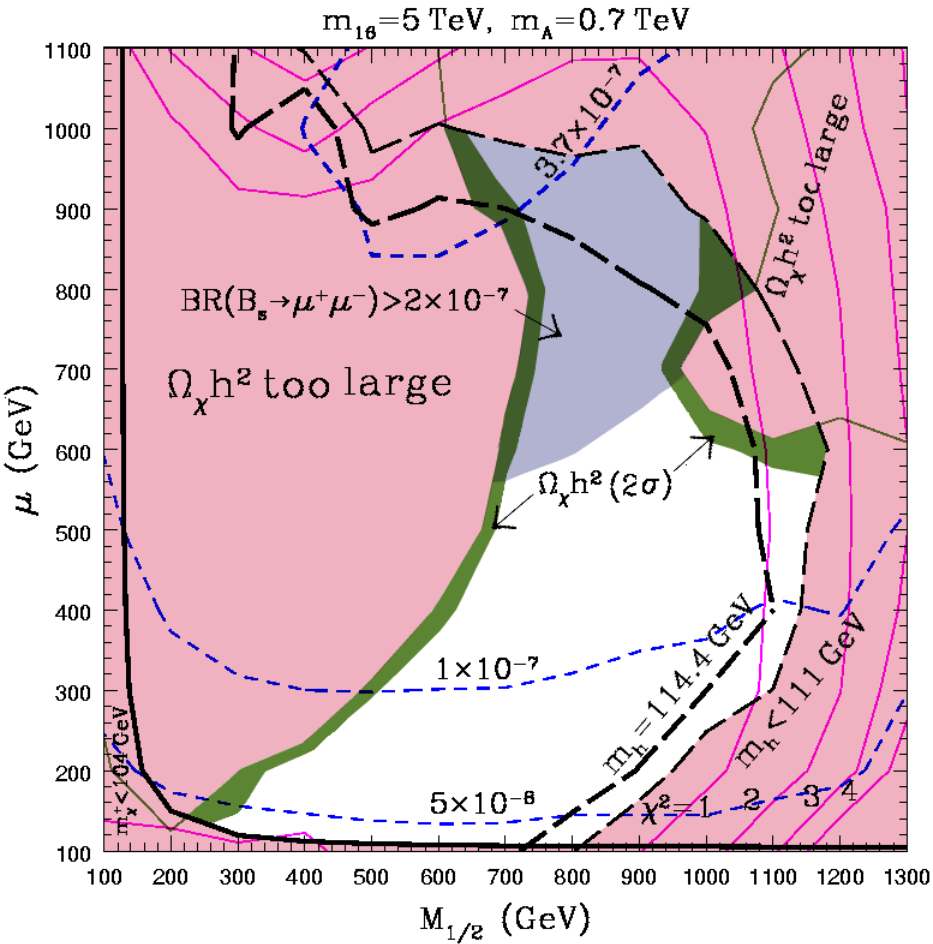
DZero $< 4.7 \times 10^{-8}$ (95% CL) w/ 5.0 fb⁻¹
"expected sensitivity"

CDF bound $< 4.3 \times 10^{-8}$ (95% CL) w/ 3.7 fb⁻¹

CDF BR($B_s \rightarrow \mu^+ \mu^-$) Projection



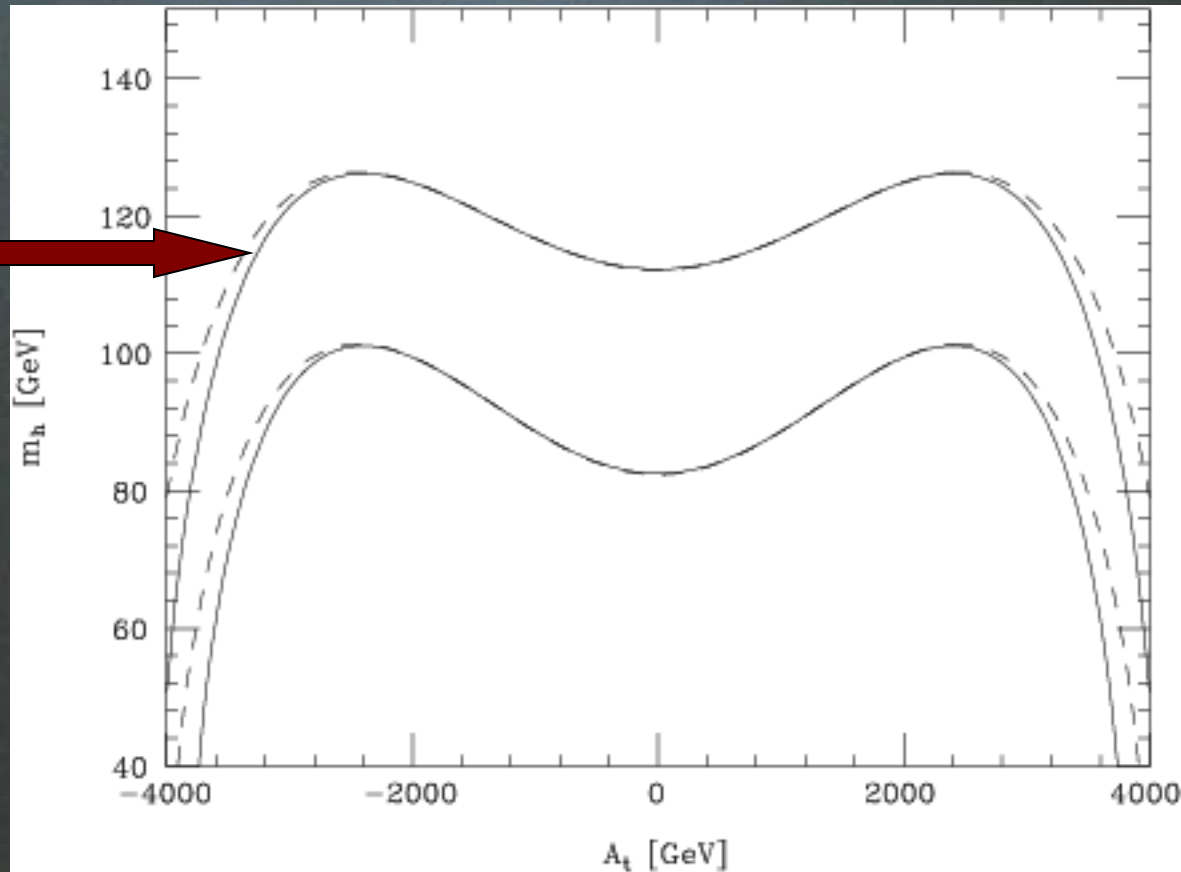
Results - m_{16} & m_A fixed



Constant χ^2 contours

Constant $B_s \rightarrow \mu^+ \mu^-$ contours

Light Higgs mass



Carena,
Quiros &
Wagner '95

$|A_t|$ increases \Rightarrow m_h decreases

Light Higgs mass

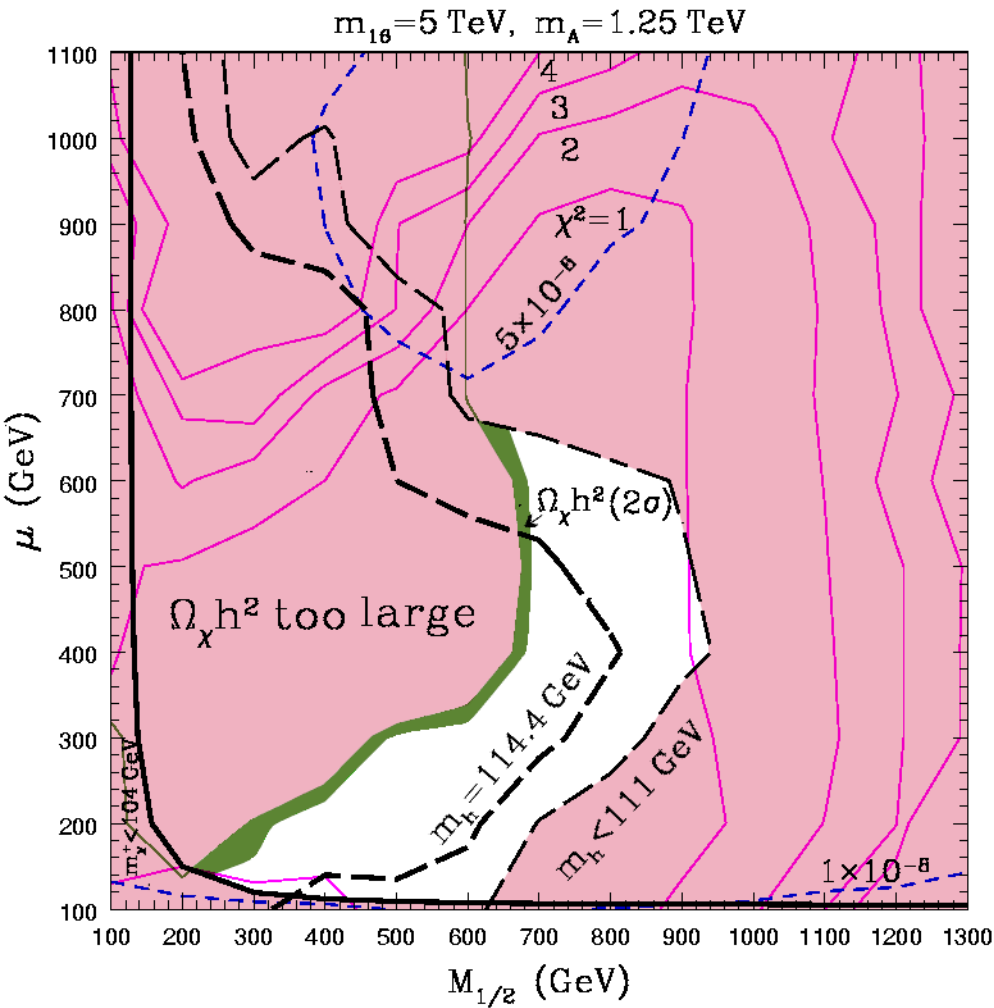
$$\frac{\delta m_b}{m_b} \propto \frac{\alpha_3 \mu M_{\tilde{g}} \tan \beta}{m_{\tilde{b}}^2} + \frac{\lambda_t^2 \mu A_t \tan \beta}{m_{\tilde{t}}^2} + \log \text{corr.}$$

$$\frac{\delta m_b}{m_b} \leq -2\% \quad \text{Needed to fit data}$$

$M_{1/2}$ increases \Rightarrow $-A_t$ increases

\Rightarrow m_h decreases

$m_A(\text{max}) \longrightarrow \text{Br}(B_s \rightarrow \mu^+ \mu^-)(\text{min})$



$$m_A \leq 1.3 \text{ TeV}$$

$$\text{Br}(B_s \rightarrow \mu^+ \mu^-) \geq 10^{-8}$$

Fermilab ??

Summary - $MSSO_{10}SM$

1. Gauge & Yukawa unification
2. Suppresses flavor & CP viol. & N decay
3. Dark Matter consistent w/WMAP
4. $114 < m_h < 121 \text{ GeV}$ ★
5. $m_A < 1.3 \text{ TeV}$
→ $BR(B_s \rightarrow \mu^+ \mu^-) > 10^{-8}$ ★

3 Family $SO(10)$ + family symmetry

Dermisek & Raby

PLB 622:327 (2005)

Dermisek, Harada & Raby

PRD74, 035011 (2006)

Albrecht, Altmannshofer, Buras, Guadagnoli & Straub

JHEP 0710:055 (2007)

3 family SO_{10} SUSY Model

- $D_3 \times U(1)$ Family Symmetry
- Superpotential
- Yukawa couplings
- χ^2 analysis
- Charged fermion masses & mixing
- Neutrino masses & mixing

Superpotential for charged fermion Yukawa couplings

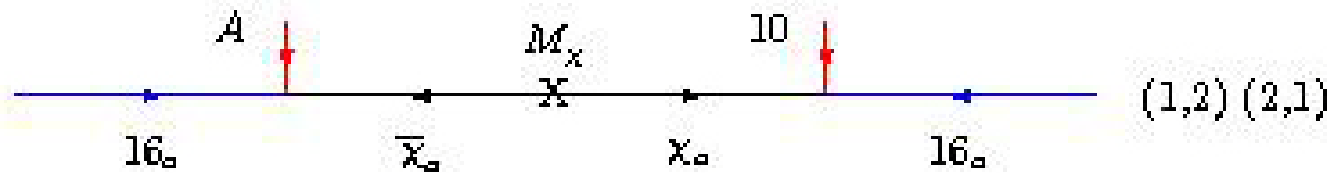
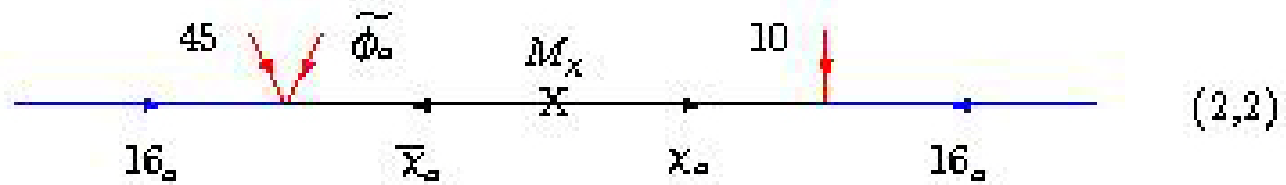
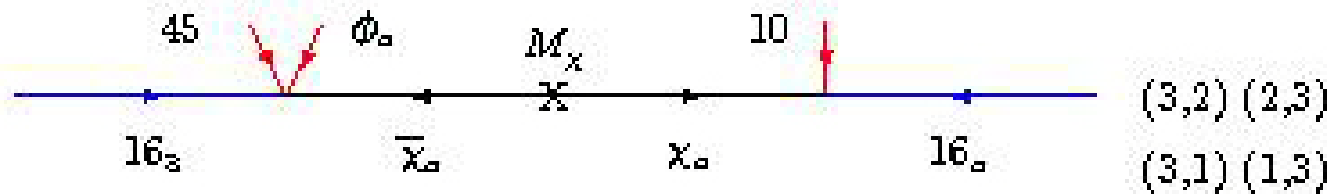
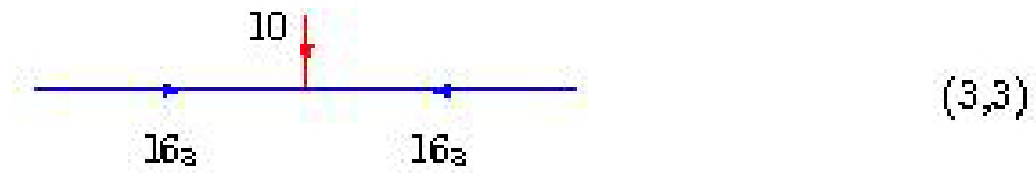
$$W_{ch.fermions} = 16_3 10 16_3 + 16_a 10 \chi_a$$

$$+ \overline{\chi}_a \left(M_\chi \chi_a + 45 \frac{\phi_a}{M} 16_3 + 45 \frac{\tilde{\phi}_a}{M} 16_a + A 16_a \right)$$

$$\langle \phi \rangle = \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} \quad \langle \tilde{\phi} \rangle = \begin{pmatrix} 0 \\ \tilde{\phi}_2 \end{pmatrix} \quad \langle 45 \rangle = (B - L) M_G$$

Familon VEVs assumed

Effective higher dimension operators



$SO(10) \times (D_3 \times U(1)$ family sym.) Yukawa Unification for 3rd Family

7 real para's
+ 4 phases

+ 3 real Majorana
Neutrino masses

Dermisek & Raby
PLB 622:327 (2005)

$$Y_u = \begin{pmatrix} 0 & \epsilon' \rho & -\epsilon \xi \\ -\epsilon' \rho & \tilde{\epsilon} \rho & -\epsilon \\ \epsilon \xi & \epsilon & 1 \end{pmatrix} \lambda$$

$$Y_d = \begin{pmatrix} 0 & \epsilon' & -\epsilon \xi \sigma \\ -\epsilon' & \tilde{\epsilon} & -\epsilon \sigma \\ \epsilon \xi & \epsilon & 1 \end{pmatrix} \lambda$$

$$Y_e = \begin{pmatrix} 0 & -\epsilon' & 3 \epsilon \xi \\ \epsilon' & 3 \tilde{\epsilon} & 3 \epsilon \\ -3 \epsilon \xi \sigma & -3 \epsilon \sigma & 1 \end{pmatrix} \lambda$$

$$Y_\nu = \begin{pmatrix} 0 & -\epsilon' \omega & \frac{3}{2} \epsilon \xi \omega \\ \epsilon' \omega & 3 \tilde{\epsilon} \omega & \frac{3}{2} \epsilon \omega \\ -3 \epsilon \xi \sigma & -3 \epsilon \sigma & 1 \end{pmatrix} \lambda$$

Extend to neutrino sector

$$W_{\text{neutrino}} = \overline{16}(\lambda_2 N_a 16_a + \lambda_3 N_3 16_3) \\ + \frac{1}{2}(S_a N_a N_a + S_3 N_3 N_3)$$

$$\langle S_a \rangle = M_a \quad \langle S_3 \rangle = M_3 \quad \langle \overline{16} \rangle = \nu_{16}$$



Assume 3 new real para's

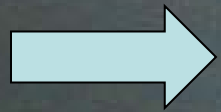
$$W_{\text{neutrino}} = \nu m_\nu \bar{\nu} + \bar{\nu} V N + \frac{1}{2} N M_N N$$

$$Y_\nu = \begin{pmatrix} 0 & -\varepsilon'\omega & \frac{3}{2}\varepsilon\xi\omega \\ \varepsilon'\omega & 3\tilde{\varepsilon}\omega & \frac{3}{2}\varepsilon\omega \\ -3\varepsilon\xi\sigma & -3\varepsilon\sigma & 1 \end{pmatrix} \lambda \quad \omega = \frac{2\sigma}{(2\sigma - 1)}$$

$$m_\nu = Y_\nu \frac{\nu}{\sqrt{2}} \sin \beta$$

$$V = \nu_{16} \begin{pmatrix} 0 & \lambda_2 & 0 \\ \lambda_2 & 0 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix}$$

$$M_N = \text{diag} (M_1 \quad M_2 \quad M_3)$$

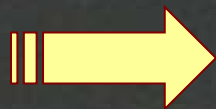


$$M_\nu = U_e^T \left(m_\nu (V^T)^{-1} M_N V^{-1} m_\nu^T \right) U_e$$

Using χ^2 analysis, fit

15 charged fermion & 4 neutrino
low energy observables with

11 arbitrary Yukawa & 3 Majorana mass
parameters



4 & 1 d. o. f. or 5 predictions

Global χ^2 analysis

Sector	#	Parameters
gauge	3	$\alpha_G, M_G, \epsilon_3,$
SUSY (GUT scale)	5	$m_{16}, M_{1/2}, A_0, m_{H_u}, m_{H_d},$
textures	11	$\epsilon, \epsilon', \lambda, \rho, \sigma, \tilde{\epsilon}, \xi,$
neutrino	3	$M_{R_1}, M_{R_2}, M_{R_3},$
SUSY (EW scale)	2	$\tan \beta, \mu$

24 parameters at GUT scale

compared to SM - 27 parameters

CMSSM - 32 parameters

Global χ^2 analysis - good fits to

- charged fermion masses & mixing angles
- neutrino masses & mixing angles
- naturally satisfies Lepton Flavor Violation and electron electric dipole moment bounds

Dermisek, Harada & Raby

PRD74, 035011 (2006)

$$m_{16} = 4 \text{ TeV}$$

$$\mu = 300 \text{ GeV}$$

$$M_{1/2} = 200 \text{ GeV}$$

Observable (masses in GeV)	Data (σ)	Theory	Pull
$G_\mu \times 10^5$	1.16637 (0.1%)	1.16638	< 0.01
α_{EM}^{-1}	137.036 (0.1%)	137.035	< 0.01
$\alpha_s(M_Z)$	0.1187 (0.002)	0.1174	0.37
M_t	172.7 (2.9)	173.11	0.02
$m_b(M_b)$	4.25 (0.25)	4.49	0.94
$M_b - M_c$	3.4 (0.2)	3.61	1.16
$m_c(m_c)$	1.2 (0.2)	1.16	0.03
m_s	0.105 (0.025)	0.107	0.01
m_d/m_s	0.0521 (0.0067)	0.0638	3.09
$Q^{-2} \times 10^3$	1.934 (0.334)	1.815	0.12
M_τ	1.777 (0.1%)	1.777	< 0.01
M_μ	0.10566 (0.1%)	0.10566	< 0.01
$M_e \times 10^3$	0.511 (0.1%)	0.511	< 0.01
V_{us}	0.22 (0.0026)	0.2193	0.06
V_{cb}	0.0413 (0.0015)	0.0410	0.03
V_{ub}	0.00367 (0.00047)	0.00316	1.15
V_{td}	0.0082 (0.00082)	0.00824	< 0.01
ϵ_K	0.00228 (0.000228)	0.00234	0.08
$\sin(2\beta)$	0.687 (0.064)	0.6435	0.46
$\Delta m_{31}^2 \times 10^3$	2.3 (0.6)	2.382	0.01
$\Delta m_{21}^2 \times 10^5$	7.9 (0.6)	7.880	< 0.01
$\sin^2 \theta_{12}$	0.295 (0.045)	0.289	0.01
$\sin^2 \theta_{23}$	0.51 (0.13)	0.532	0.03
TOTAL χ^2			7.65

Results for $7 < \chi^2 < 8$

$$m_{16} = 4 \text{ TeV}$$

$$114 < m_h < 129 \text{ GeV}$$

$$0.010 < \sin^2 2\mathcal{G}_{13} < 0.015$$

$$1 < \text{BR}(\mu \rightarrow e\gamma) \times 10^{14} < 7$$

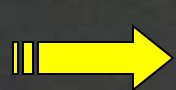
$$2 < d_e (e \text{ cm}) \times 10^{29} < 5$$

χ^2 analysis including B physics

Albrecht, Altmannshofer, Buras, Guadagnoli, & Straub
JHEP 0710:055 (2007)

Find good fits to quark, charged lepton
& neutrino masses and mixing angles
& test flavor violation in b physics

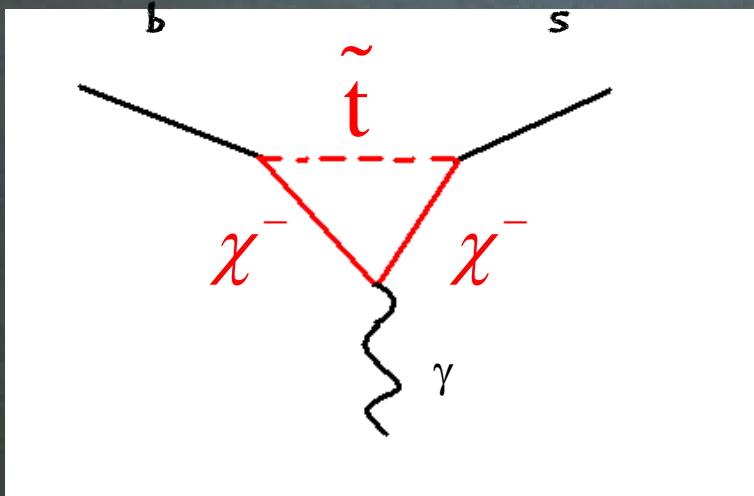
some tension between $b \rightarrow s \gamma$ & $b \rightarrow s l^+ l^-$



$m_{16} \sim 10 \text{ TeV}$

What is the tension?

$$C_7^{\chi^+} \propto \mu A_t \tan \beta \times \text{sign}(C_7^{\text{SM}}) \approx -2C_7^{\text{SM}}$$



$$A_t < 0$$



$$C_7 = C_7^{\text{SM}} + C_7^{\chi^+} \approx -C_7^{\text{SM}}$$

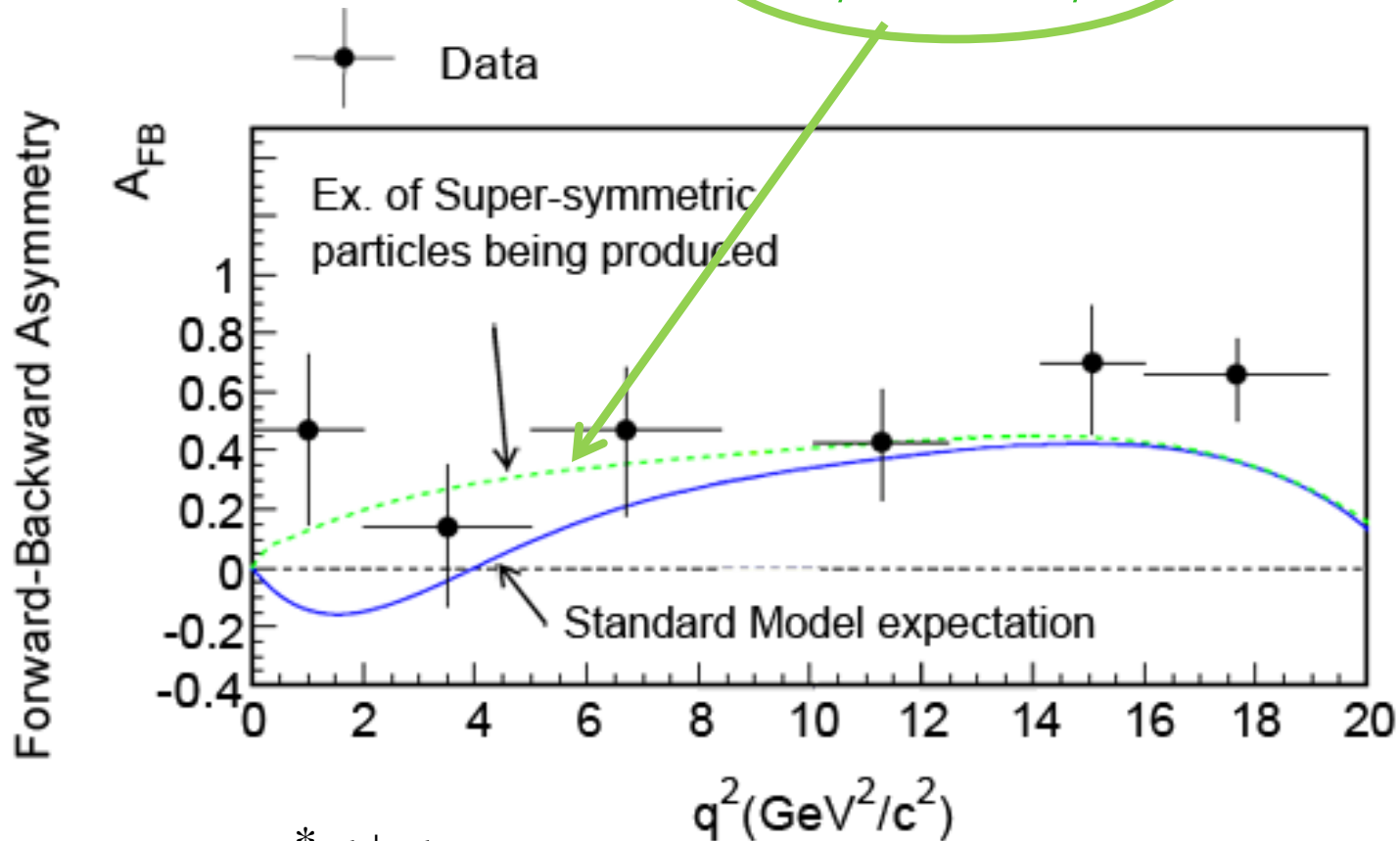
BR(B \rightarrow X_s l⁺ l⁻)

favours $C_7 \approx +C_7^{\text{SM}}$

at $\sim 2\sigma$

However ...

$$C_7 = -C_7^{SM}$$



Invariant mass of lepton pair

Belle arXiv:0904.0770

Observable	Exp. value	Fit value	Pull (σ)
M_W	80.403	80.6	0.5
M_Z	91.1876	90.7	1.1
$G_F \times 10^5$	1.16637	1.16	0.3
$1/\alpha_{em}$	137.036	136.8	0.4
$\alpha_s(M_Z)$	0.1176	0.117	0.2
M_t	170.9	170.6	0.2
$m_b(m_b)$	4.2	4.22	0.3
$m_c(m_b)$	1.25	1.14	1.2
$m_s(2 \text{ GeV})$	0.095	0.107	0.5
$m_d(2 \text{ GeV})$	0.005	0.00741	1.2
$m_u(2 \text{ GeV})$	0.00225	0.00461	3.1
M_τ	1.777	1.78	0.1
M_μ	0.10566	0.106	0.1
M_e	0.000511	0.000511	0.0
$ V_{us} $	0.2258	0.225	0.6
$ V_{ub} \times 10^3$	4.1	3.26	2.1
$ V_{cb} $	0.0416	0.0416	0.1
$\sin 2\beta$	0.675	0.639	1.4
$\Delta m_{31}^2 \times 10^{21}$	2.6	2.6	0.0
$\Delta m_{21}^2 \times 10^{23}$	7.9	7.9	0.0
$\sin^2 2\theta_{12}$	0.852	0.852	0.0
$\sin^2 2\theta_{23}$	0.996	1.0	0.2
$\epsilon_K \times 10^3$	2.229	2.33	0.4
$\text{BR}(B \rightarrow X_s \gamma) \times 10^4$	3.55	2.86	1.3
$\text{BR}(B \rightarrow X_s \ell^+ \ell^-) \times 10^6$	1.6	1.62	0.0
$\Delta M_s / \Delta M_d$	35.05	31.1	1.1
$\text{BR}(B^+ \rightarrow \tau^+ \nu) \times 10^4$	1.31	0.517	1.7
total χ^2 :			27.4

Albrecht et al.
JHEP 0710:055 (2007)

m_{16}	4000	6000	10000
μ	378	953	1200
$\text{BR}(B_s \rightarrow \mu^+ \mu^-) \times 10^8$	8.6	7.7	2.1
\hat{s}_0	0.022	0.13	0.14
$\text{BR}(\mu \rightarrow e \gamma) \times 10^{13}$	0.36	0.021	0.0026
$\delta a_\mu^{\text{SUSY}} \times 10^{10}$	+5.8	+1.6	+0.52
M_{h_0}	126	129	129
M_A	507	559	842
$m_{\tilde{t}_1}$	640	1172	1903
$m_{\tilde{b}_1}$	895	1475	2366
$m_{\tilde{\tau}_1}$	1510	2419	3933
$m_{\tilde{\chi}_1^0}$	60	60	60
$m_{\tilde{\chi}_1^+}$	115	119	120
$m_{\tilde{g}}$	462	478	506

Required $C_7 = +C_7^{\text{SM}}$

$MSSM_{10}SM$ & Large $\tan(\beta)$

- Fits WMAP
- Predicts light Higgs
with mass of order 120 - 130 GeV
- Predicts lighter 3rd and heavy 1st & 2nd gen.
squarks and sleptons (inverted scalar mass hier.)
- LFV bounds satisfied
- Enhances $Br(B_s \rightarrow \mu^+ \mu^-)$
- Suppresses $Br(B \rightarrow \tau \nu)$ & ΔM_{B_s}
- $B \rightarrow X_s \gamma$, $X_s l^+ l^-$ tension ??

LHCb

$MSSO_{10}SMA$ & Large $\tan(\beta)$

- predicts light gluinos $\sim 300 - 500$ GeV
- predicts light charginos and neutralinos

LHC

$MSSO_{10}SMA$: Beautiful symmetry
Many experimental tests!!

Problems of SUSY GUTs

- GUT symmetry breaking
- Higgs doublet-triplet splitting

Missing partner mechanism $SU(5)$

$$W \supset 75^3 + M 75^2 + H_u 75 H_{50} + \overline{H}_d 75 H_{\overline{50}} + X H_{\overline{50}} H_{50}$$

Missing VEV mechanism $SO(10)$

$$W \supset 45^4 + M 45^2 + X (\overline{16} 16)^2 + F(X) \\ + \overline{16}' (S 45 + S_1) 16 + \overline{16}' (S 45 + S_2) 16' + 10 45 10' + X' (10')^2$$

Outline

- 4 dimensional SUSY GUTs
- 5 dimensional orbifold GUTs
- String orbifolds \longleftrightarrow orbifold GUTs
- Conclusions

Orbifold GUTs in 5 or 6 dimensions

GUT symmetry breaking

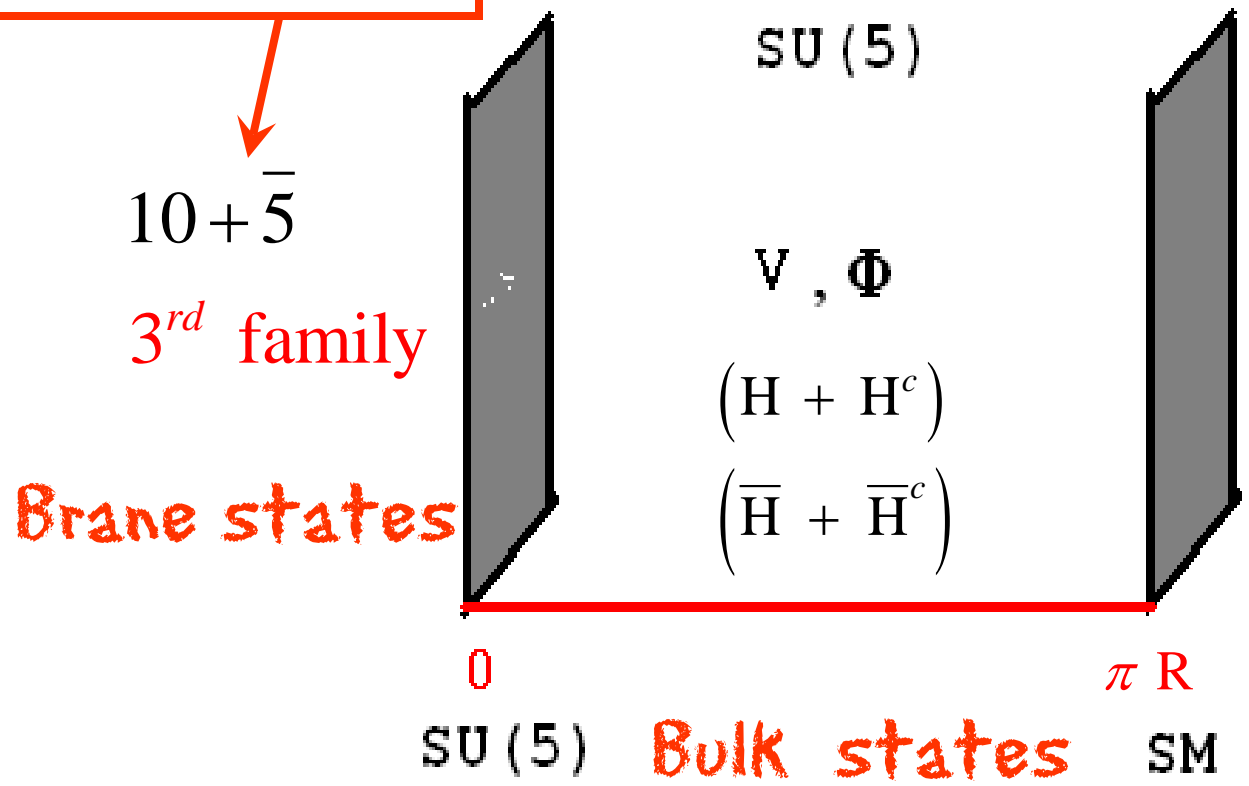
Doublet-Triplet splitting

Kawamura; Hall & Nomura; Contino, Pilo, Rattazzi
& Trincherini; Altarelli, Feruglio & Masina;
Dermisek & Mafi; H.D. Kim & Raby; Asaka,
Buchmuller & Covi; Lee; Hebecker & March-Russell

H.D. Kim, Raby & Schradin JHEP 0505:036 (2005)

$b-\tau$ Yukawa unification

GUT symmetry breaking
Higgs D-T splitting
via orbifold BCs

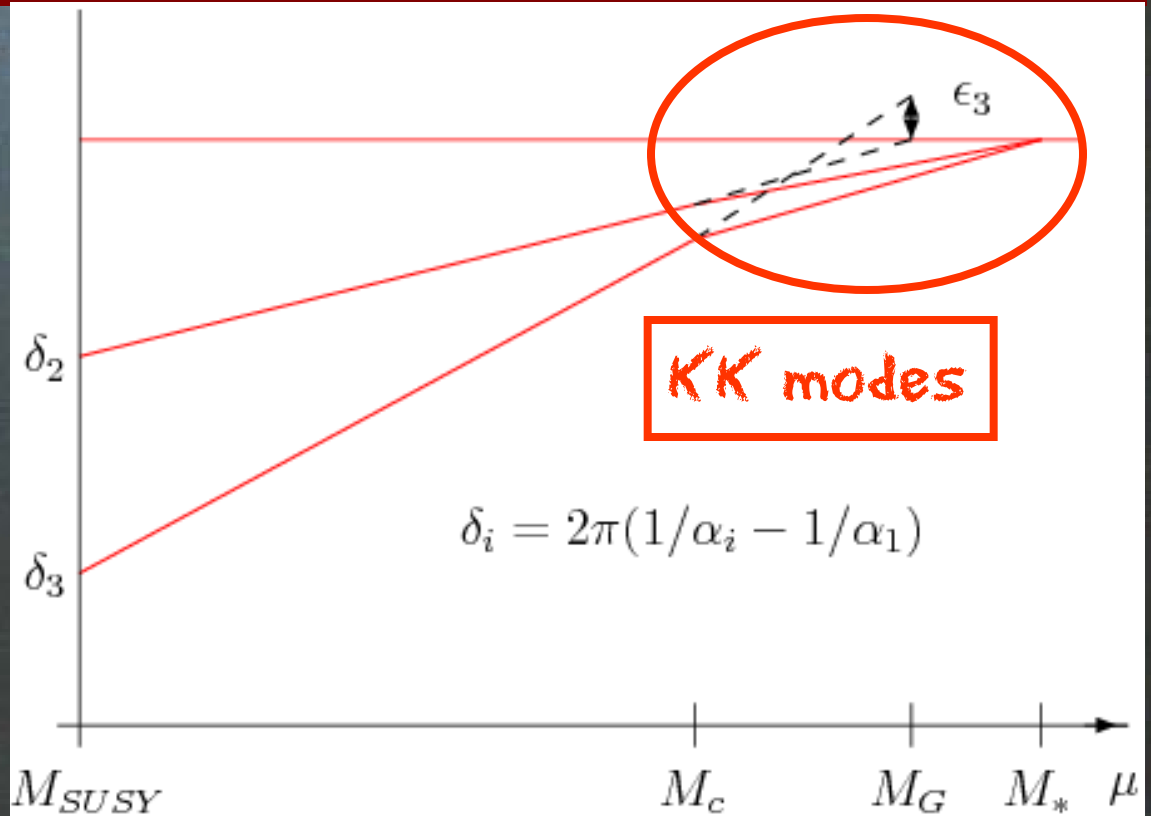
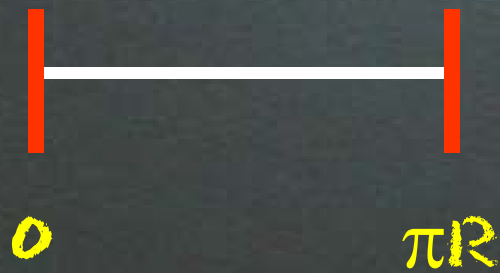


1st & 2nd families in bulk

Suppress proton decay

G.C. Unif. & Proton decay > 4D

5D Orbifold
GUT

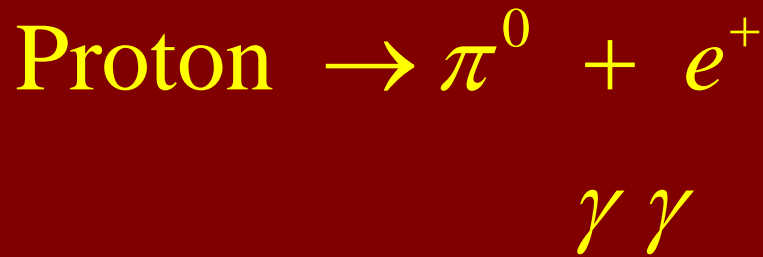
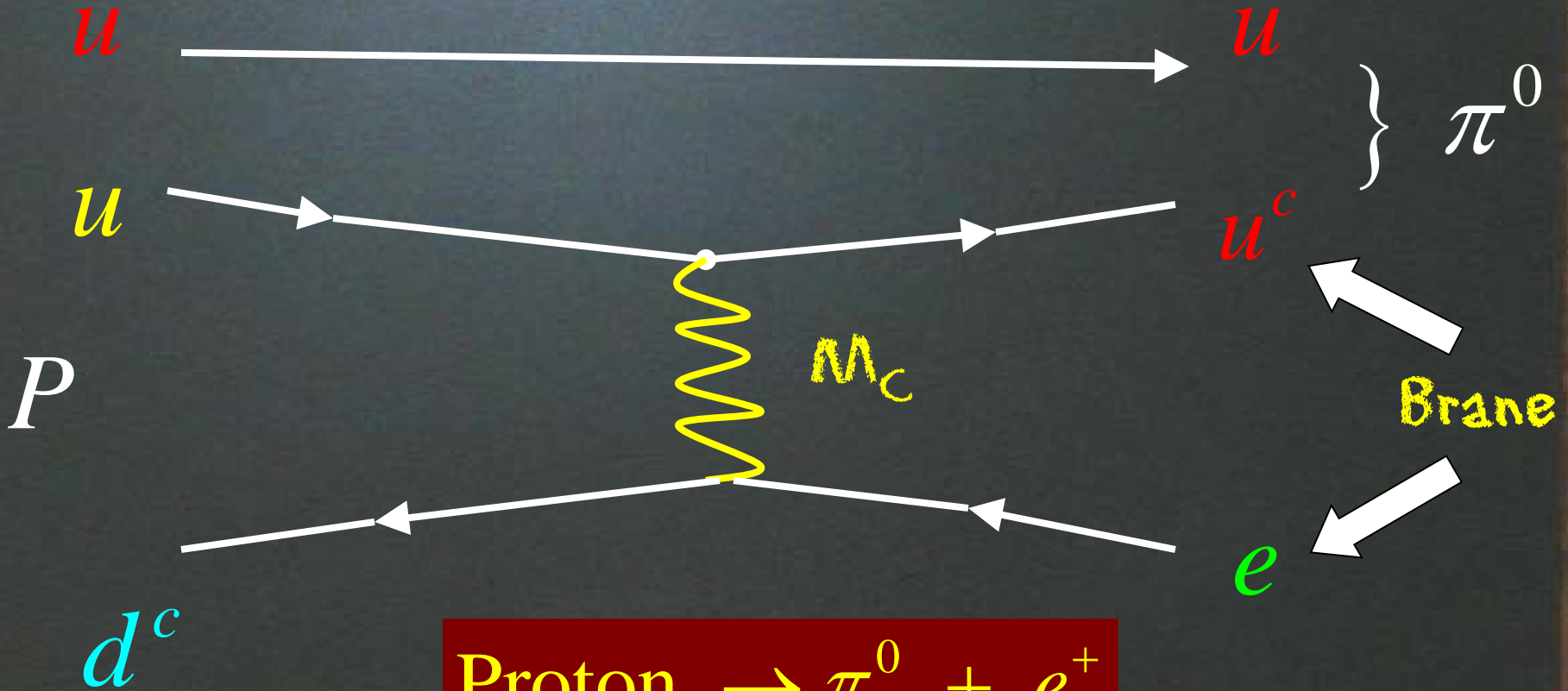


$$M_c \sim 1/\pi R < M_G < M_*$$

Dienes et al., Hall & Nomura,
Kim & S.R., Feruglio et al.

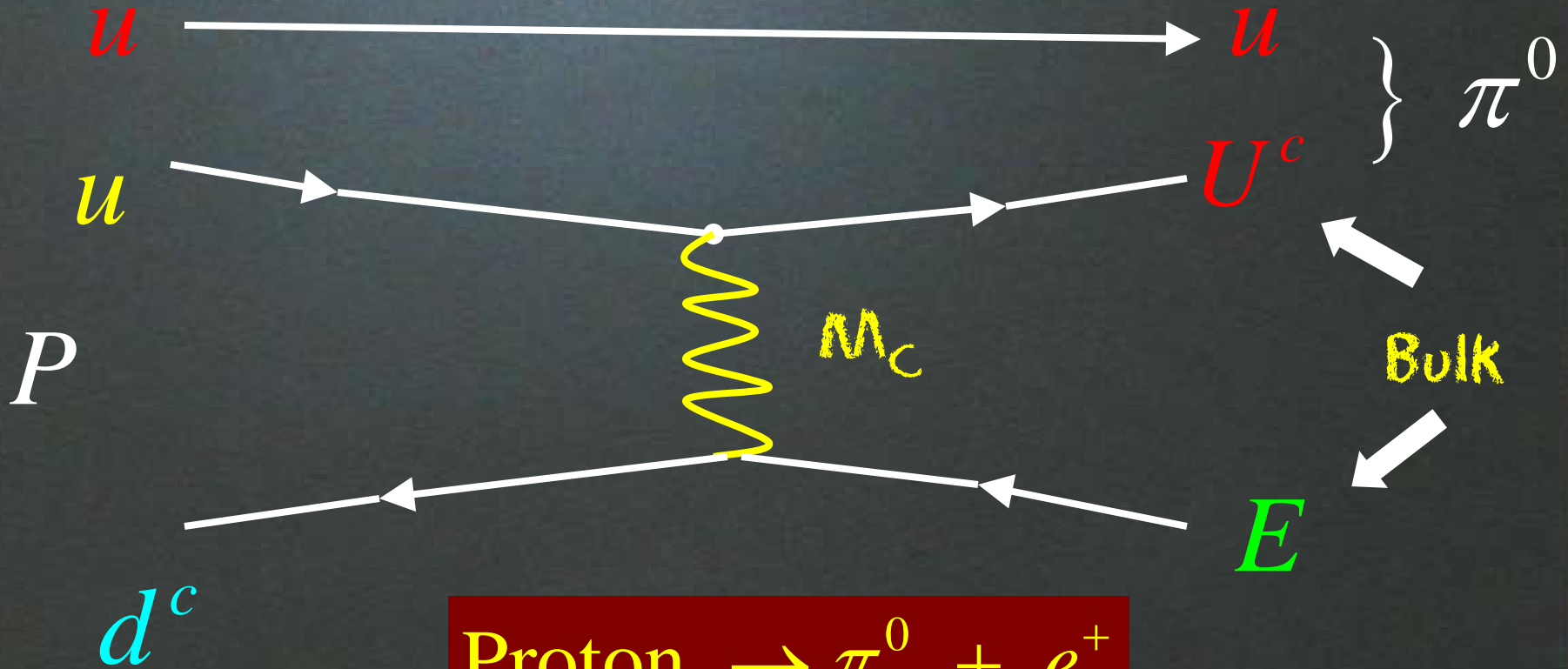
Dim 6 amp. $\sim 1 / \Lambda_C^2$

Enhanced !!



Dim 6 amp. $\sim 1 / \Lambda_C^2$

Suppressed !!



Proton $\rightarrow \pi^0 + e^+$
 $\gamma\gamma$

Orbifold GUTs in 5 or 6 dimensions:

- GUT breaking via Orbifold "Parity"
- Higgs doublet - triplet splitting via Orbifold "P"
- NO proton decay via Dim 5 operators
due to R symmetry
- Proton decay via Dim 6 operators can be
suppressed, BUT typically enhanced
(model dependent)
- Matter localization determines Yukawas

Outline

- 4 dimensional SUSY GUTs
- 5 dimensional orbifold GUTs
- String orbifolds \longleftrightarrow orbifold GUTs
- Conclusions

UV completion

Orbifold GUTs in 5 or 6 dimensions

Derived from heterotic string in 10 D

Kobayashi, Raby & Zhang; Forste, Nilles,
Vaudrevange & Wingerter; Buchmuller, Hamaguchi,
Lebedev & Ratz; JE Kim, JH Kim & Kyae;
Buchmuller, Ludeling & Schmidt

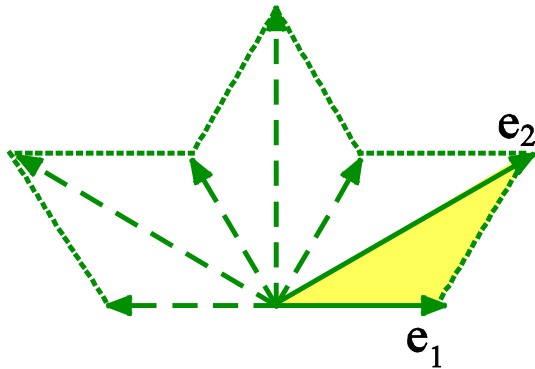
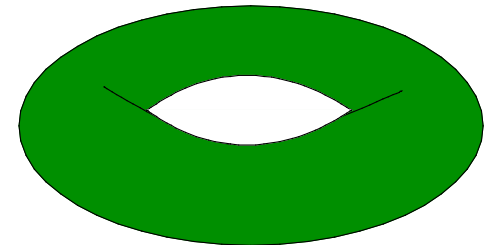
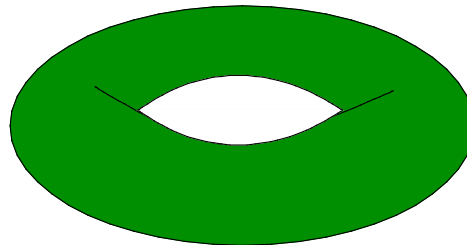
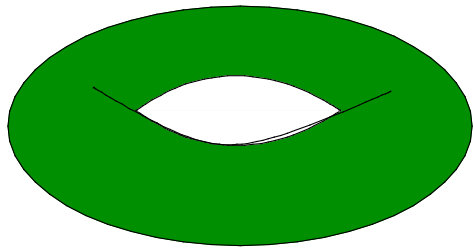
Road to the MSSM with R-parity

Lebedev et al. - 0708.2691(hep-th)

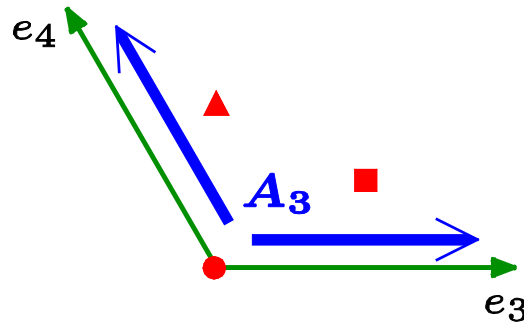
Find 15 models from orbifold compactification of $E(8) \times E(8)$ heterotic string

- ✓ MSSM spectrum at low energy
- ✓ Exact R parity
- ✓ Light Higgs
- ✓ Non-trivial charged fermion masses
- ✓ Neutrino masses via See-Saw

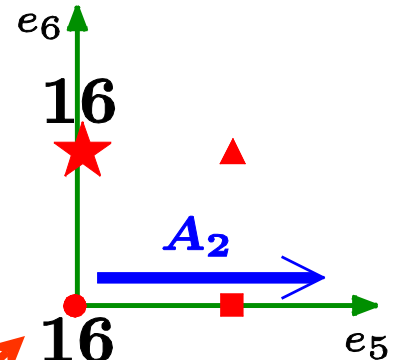
Compactify $E(8) \times E(8)$ heterotic string
 on $(T^2)^3 / (Z_3 \times Z_2)$ + Wilson lines (A_2, A_3)



G_2 root lattice



$SU(3)$ root lattice



$SO(4)$ root lattice

Local $SO(10)$ GUT

D_4 family symmetry

$$D_4 = \{\pm 1, \pm \sigma_1, \pm \sigma_3, \mp i \sigma_2\}$$

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} : f_1 \leftrightarrow f_2 \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} : f_2 \leftrightarrow -f_2$$

geometry

string selection rule

$$\begin{pmatrix} f_1 \\ f_2 \end{pmatrix}$$

doublet

$$f_3, H_u, H_d$$

singlets

For detailed list of all discrete non-abelian symmetries from orbifold compactification of the heterotic string, see

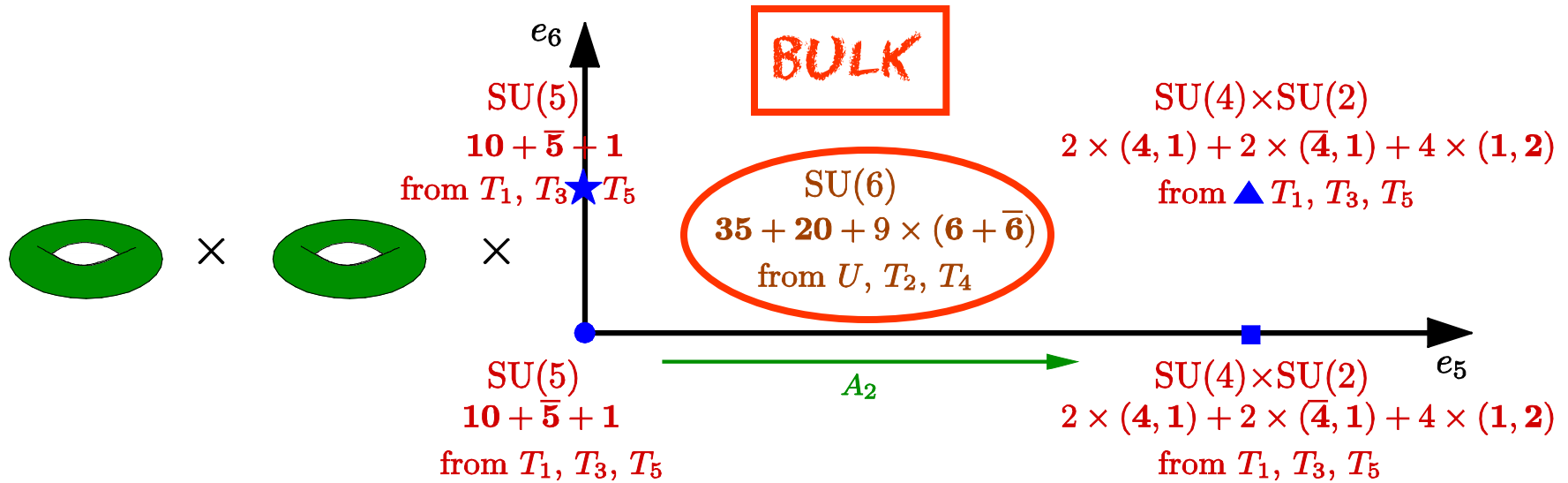
Kobayashi, Nilles, Ploeger, SR & Ratz
hep-ph/0611020

For D_4 phenomenology, see

Ko, Kobayashi, Park & SR
arXiv:0704.2807

Compactify $E(8) \times E(8)$ heterotic string
 on $(T^2)^3 / (Z_3) + A_3$ only ($R_5 \gg I_5$)

→ **SU(6) orbifold GUT**



After Z_2
SU(5) brane

$Z'_2 = Z_2 + A_2$
SU(4) x SU(2) brane

Bulk states

$$\text{SU}(6) \rightarrow \text{SU}(5)$$

$$35 \rightarrow 24 + 5 + \bar{5} + 1 \supset H_u + H_d$$

Gauge - Higgs unification !

$$20 + 20^c \rightarrow (10 + \bar{10}) + \dots \supset Q = \begin{pmatrix} t \\ b \end{pmatrix} + t^c + \tau^c$$

$$2(6 + 6^c) \rightarrow L = \begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix} + b^c$$

3rd family

Tree level
coupling

$$20 \ 35 \ 20^c \supset Q \ H_u \ t^c$$



"Benchmark" model 1 - Spectrum

#	irrep	label	#	irrep	label
3	$(\mathbf{3}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(1/3, 1/3)}$	q_i	3	$(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-4/3, -1/3)}$	\bar{u}_i
3	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(2, 1)}$	\bar{e}_i	8	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(0, *)}$	m_i
4	$(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(2/3, -1/3)}$	\bar{d}_i	1	$(\mathbf{3}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-2/3, 1/3)}$	d_i
4	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(-1, -1)}$	l_i	1	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(1, 1)}$	\bar{l}_i
1	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(-1, 0)}$	ϕ_i	1	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(1, 0)}$	$\bar{\phi}_i$
6	$(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(2/3, 2/3)}$	δ_i	6	$(\mathbf{3}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-2/3, -2/3)}$	δ_i
14	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1, *)}$	s_i^+	14	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-1, *)}$	s_i^-
16	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, 1)}$	\bar{n}_i	13	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, -1)}$	n_i
5	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{2})_{(0, 1)}$	$\bar{\eta}_i$	5	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{2})_{(0, -1)}$	η_i
10	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{2})_{(0, 0)}$	h_i	2	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{2})_{(0, 0)}$	y_i
6	$(\mathbf{1}, \mathbf{1}; \mathbf{4}, \mathbf{1})_{(0, *)}$	f_i	6	$(\mathbf{1}, \mathbf{1}; \bar{\mathbf{4}}, \mathbf{1})_{(0, *)}$	\bar{f}_i
2	$(\mathbf{1}, \mathbf{1}; \mathbf{4}, \mathbf{1})_{(-1, -1)}$	f_i^-	2	$(\mathbf{1}, \mathbf{1}; \bar{\mathbf{4}}, \mathbf{1})_{(1, 1)}$	\bar{f}_i^+
4	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, \pm 2)}$	χ_i	32	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, 0)}$	s_i^0
2	$(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-1/3, 2/3)}$	\bar{v}_i	2	$(\mathbf{3}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1/3, -2/3)}$	v_i

Table 1: Spectrum. The quantum numbers under $SU(3) \times SU(2) \times [SU(4) \times SU(2)']$ are shown, hypercharge and B-L charge appear as subscript.

Yukawa couplings

$$Y_u = \begin{pmatrix} \tilde{s}^5 & \tilde{s}^5 & \tilde{s}^5 \\ \tilde{s}^5 & \tilde{s}^5 & \tilde{s}^5 \\ \tilde{s}^6 & \tilde{s}^6 & 1 \end{pmatrix}, \quad Y_d = \begin{pmatrix} \tilde{s}^5 & \tilde{s}^5 & 0 \\ \tilde{s}^5 & \tilde{s}^5 & 0 \\ \tilde{s}^6 & \tilde{s}^6 & 0 \end{pmatrix},$$
$$Y_e = \begin{pmatrix} \tilde{s}^5 & \tilde{s}^5 & \tilde{s}^6 \\ \tilde{s}^5 & \tilde{s}^5 & \tilde{s}^6 \\ \tilde{s}^6 & \tilde{s}^6 & 0 \end{pmatrix}$$

Effective higher dimension operators !!

Neutrino masses via See-Saw !!

Conclusions

Evolution of SUSY GUT Model Building

Bottom up

- 4D SUSY GUT + family symmetry
test predictions via global analysis
- Lift to 5D (or 6D) orbifold GUT

Top down

- Derive from heterotic string,
M or F theory includes Gravity !!

Backup Slides

Baer, Kraml, Sekmen and Summy

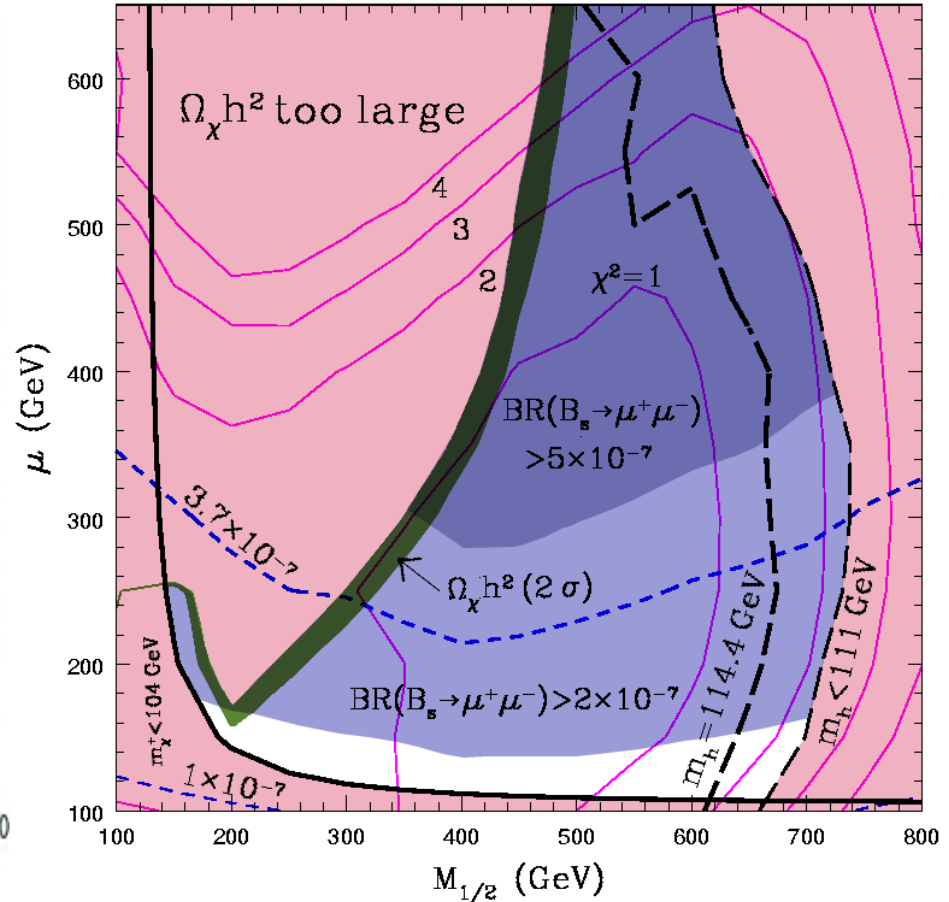
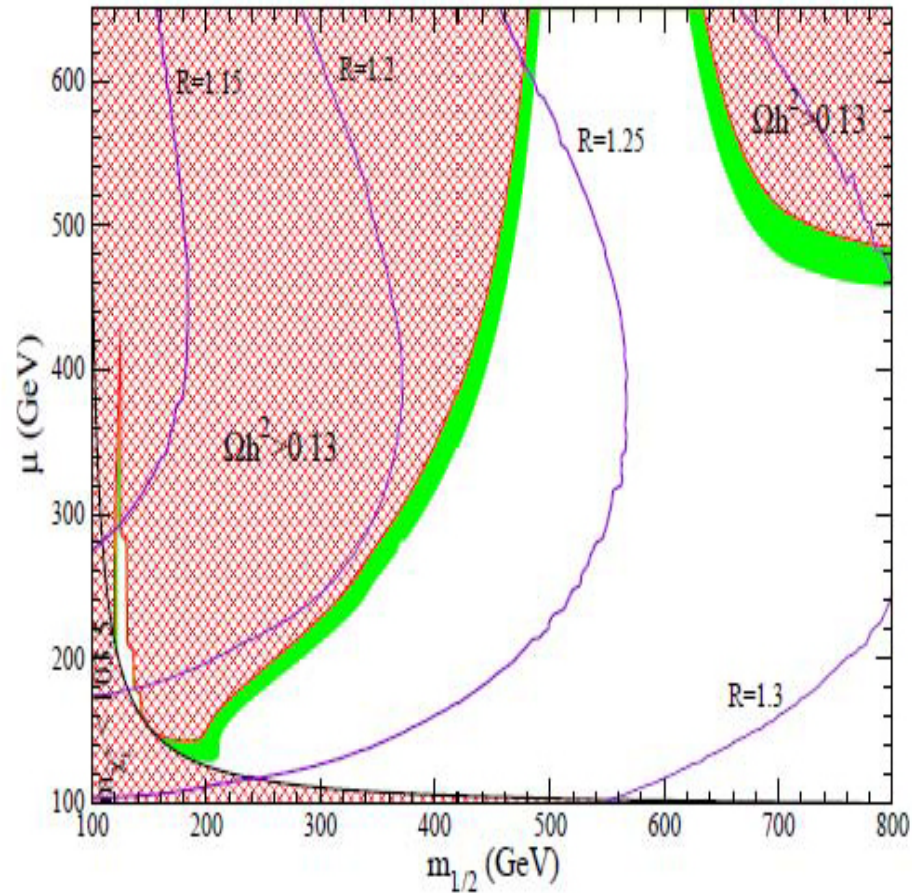
JHEP 03 (2008) 056

Bottom - Up and Down and Up ...

Dermisek, Raby, Roszkowski and Ruiz de Austri JHEP 09 (2005) 029

Top - Down

$m_{16} = 3 \text{ TeV}$, $m_A = 0.5 \text{ TeV}$



$$R = \frac{\max(f_t, f_b, f_\tau)}{\min(f_t, f_b, f_\tau)}$$

χ^2

$$\Delta m_H^2 \equiv \frac{(m_{H_d}^2 - m_{H_u}^2)}{2m_{10}^2} \approx 13\%$$

BDR "Just so"

$$m_i^2 = Q_X^i D_X + (m_i^0)^2$$

Baer, Kraml & Sekmen

arXiv:0908.0134

$$m_{16}^{(3)} \leq m_{16}^{(1,2)}$$

"DR3"

	Q	U ^c	D ^c	L	E ^c	V ^c		H _U	H _D
Q _X ⁱ	1	1	-3	-3	1	5		-2	2
m _i ⁰			m ₁₆						m ₁₀

Varying BCs at M_{GUT} 3rd Family only

Balazs & Dermisek

JHEP 0306:024 (2003)

non universal gaugino masses

Altmannshofer, Guadagnoli, Raby & Straub

$$\lambda_t \neq \lambda_b = \lambda_\tau$$

PLB 668, 385 (2008)

Baer, Kraml & Sekmen

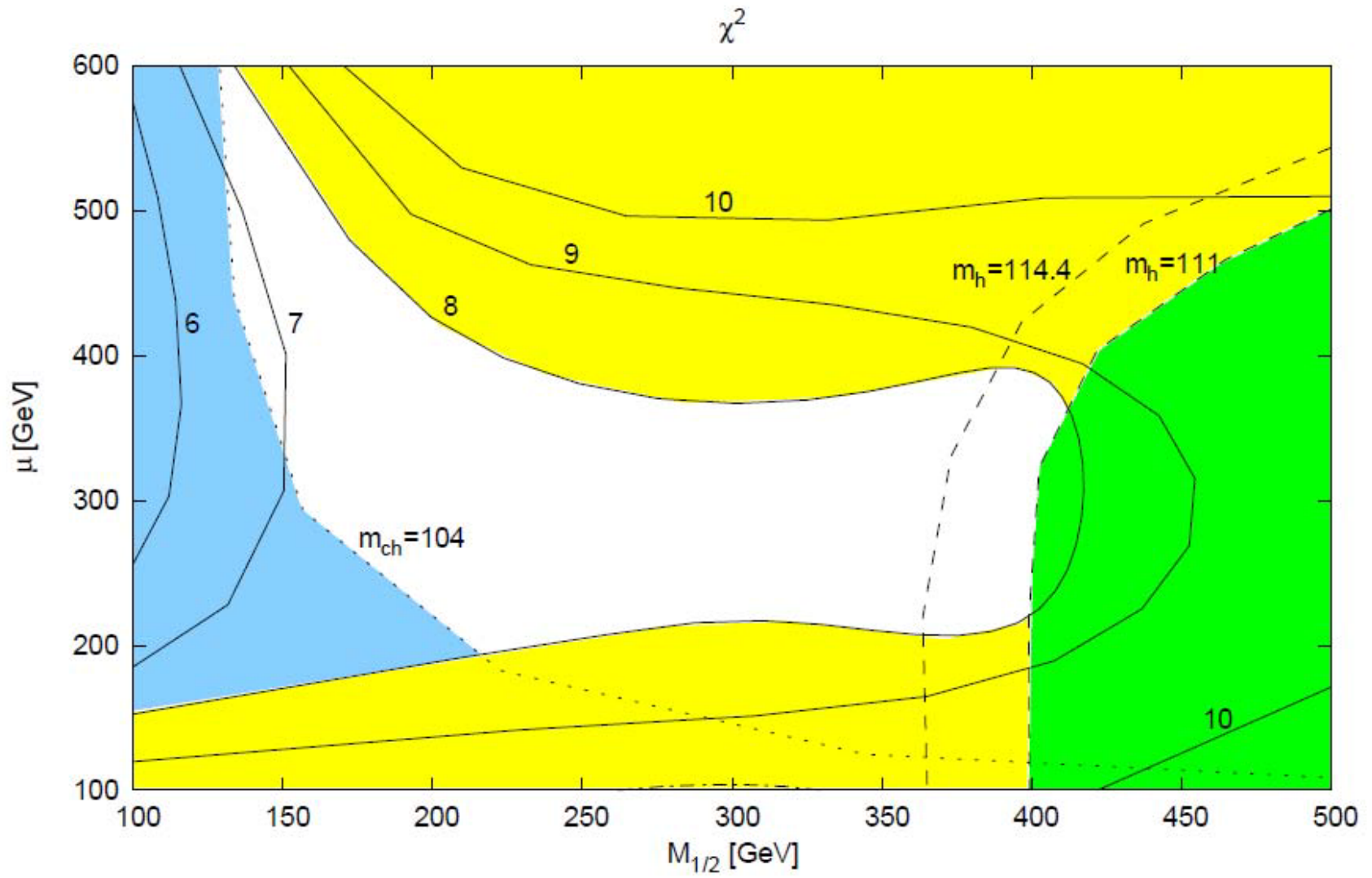
arXiv:0908.0134 (hep-ph)

D term splitting & $m_{16}^{(3)} \neq m_{16}^{(1,2)}$

Guadagnoli, Raby & Straub

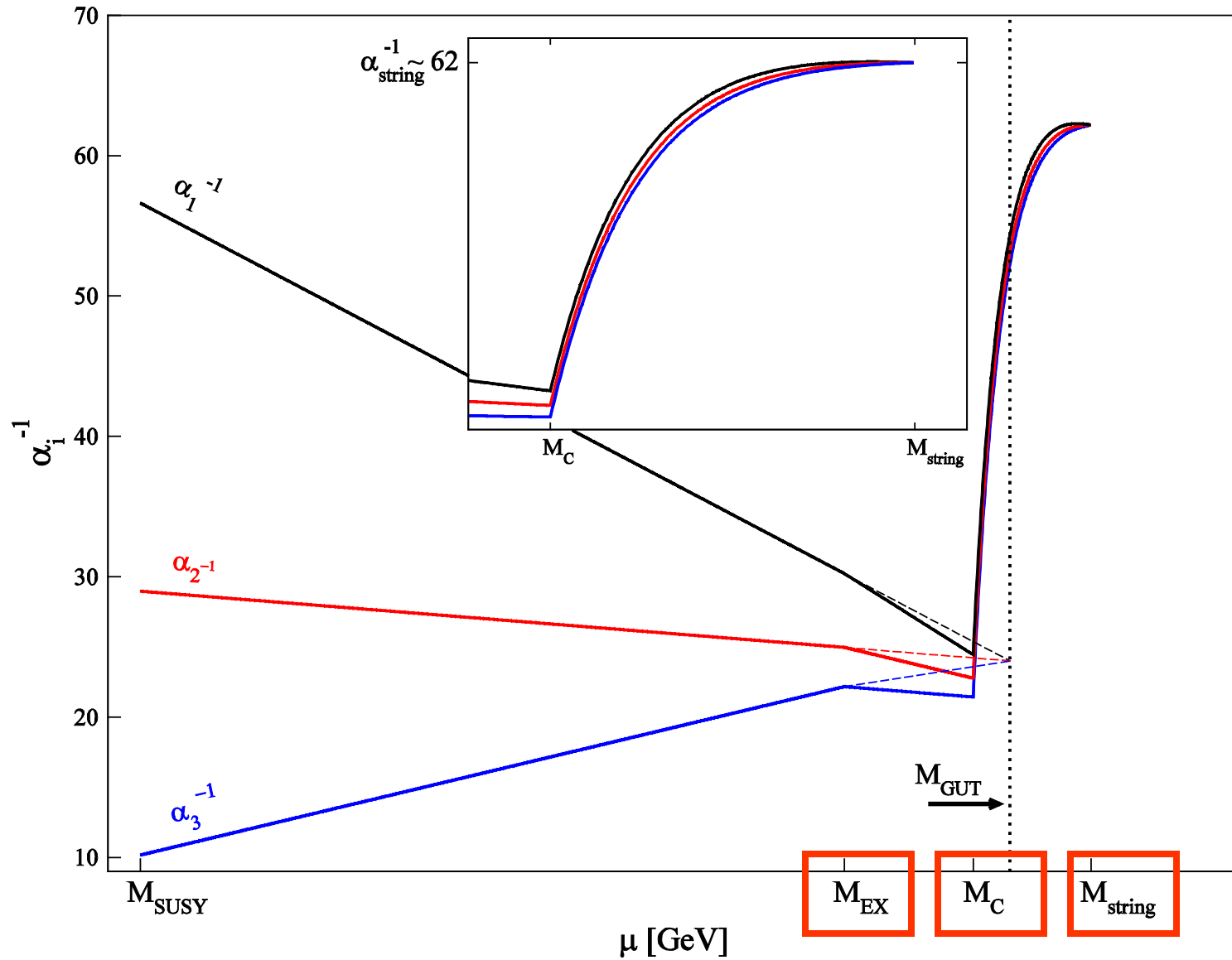
arXiv:0907.4709 (hep-ph)

$$A_t \neq A_b \quad \& \quad m_{H_U}^2 \neq m_{H_D}^2$$



Gauge coupling unification

Dundee, SR & Wingerter arXiv:0805.4186



Proton decay - Dim. 6 operators

